

The index of composition of the iterates of the Euler function

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*Dedicated to Professor Ferenc Schipp on the occasion of his 75th anniversary
and to Professor Péter Simon on the occasion of his 65th anniversary*

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Abstract

The index of composition of an integer $n \geq 2$ is defined as $\lambda(n) = (\log n)/(\log \gamma(n))$, where $\gamma(n)$ stands for the largest square-free divisor of n . Let φ stand for the Euler totient function. We show that the index of composition of the k -fold iterate of $\varphi(n)$ is 1 on a set of density 1 and that an analogous result holds if n runs over the set of shifted primes.

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1 Introduction and notation

The index of composition of an integer $n \geq 2$ is defined as $\lambda(n) = (\log n)/(\log \gamma(n))$, where $\gamma(n)$ stands the largest square-free divisor of n . For convenience, we set $\lambda(1) = \gamma(1) = 1$. The index of composition was introduced by Browkin in 2000. Later, De Koninck and Doyon [3] obtained various results concerning its global and local behaviour. In particular, they proved that the average value of $\lambda(n)$ is 1. This function was also the subject of various papers, namely De Koninck and Kátai [4], De Koninck, Kátai and Subbarao [5], Zhai [8], Zhang, Lü and Zhai [9], Zhang and W. Zhai [9] as well as Robert and Tenenbaum [7]. Recently, De Koninck and Luca [6] proved that the average value of $\lambda(\varphi(n))$, where φ is the Euler totient function, is also 1.

For each integer $k \geq 1$, let $\varphi_k = \varphi \circ \varphi_{k-1}$, with $\varphi_0(n) = n$ for all $n \in \mathbb{N}$, stand for the k -fold iterate of the Euler φ function. Here, we show that the index of composition of the k -fold iterate of $\varphi(n)$ is 1 on a set of density 1 and that an analogous result holds if n runs over the set of shifted primes.

Let $\omega(n)$ stand for the number of distinct prime divisors of the integer $n \geq 2$, setting $\omega(1) = 0$. Bassily, Kátai and Wijsmuller [1] obtained the distribution of the function $\omega(\varphi_k(n))$ which counts the number of distinct prime factors of the k -fold iterate of the Euler function.

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We let $\pi(x)$ stand for the number primes not exceeding x and $\Omega(n)$ stand for the number of prime divisors of n counting their multiplicity, setting $\Omega(1) = 0$. As usual, we let $\text{li}(x) := \int_2^x \frac{dt}{\log t}$ and let $\pi(x; k, \ell)$ be the number of primes $p \leq x$ such that $p \equiv \ell \pmod{k}$. We let $P(n)$ stand for the largest prime factor of $n \geq 2$ and set $P(1) = 1$. For convenience, we shall write $\log_2 x$ for $\max(1, \log \log x)$, $\log_3 x$ for $\max(1, \log \log_2 x)$, and so on. From here on, the letter c , with or without subscript, stands for an absolute positive constant, but not necessarily the same at each occurrence, while the letters p, q, Q, π , with or without subscript, will always denote primes.

2 Preliminary results

Writing $\Phi(z) = \frac{1}{\sqrt{2\pi}} \int_z^\infty e^{-w^2/2} dw$ ($z \in \mathbb{R}$) for the normal distribution function, and setting

$$a_k = \frac{1}{(k+1)!}, \quad b_k = \frac{1}{k! \sqrt{2k+1}} \quad (k = 1, 2, \dots),$$

Bassily, Kátai and Wijsmuller [1] proved that

$$(2.1) \quad \lim_{x \rightarrow \infty} \frac{1}{x} \# \left\{ n \leq x : \left| \frac{\omega(\varphi_k(n)) - a_k (\log_2 x)^{k+1}}{b_k (\log_2 x)^{k+1/2}} \right| < z \right\} = \Phi(z),$$

$$(2.2) \quad \lim_{x \rightarrow \infty} \frac{1}{\pi(x)} \# \left\{ p \leq x : \left| \frac{\omega(\varphi_k(p-1)) - (\log_2 x)^{k+1}}{b_k (\log_2 x)^{k+1/2}} \right| < z \right\} = \Phi(z).$$

Letting $\Delta(n) := \Omega(n) - \omega(n)$, Bassily, Kátai and Wijsmuller [2] proved that, given any positive integer k , as $x \rightarrow \infty$,

$$(2.3) \quad \Delta(\varphi_k(n)) = (1 + o(1)) a_{k-1} (\log_2 x)^k (\log_4 x) \quad \text{for almost all } n \leq x.$$

Lemma 1. *Given a positive integer D and any fixed integer ℓ , let $s(x; D, \ell) := \sum_{\substack{p \leq x \\ p \equiv \ell \pmod{D}}} \frac{1}{p}$.*

Then, uniformly for $D \in [1, x]$, $x \geq 3$, if $\ell = 1$ or -1 ,

$$s(x; D, \ell) \leq \frac{c \log_2 x}{\varphi(D)}.$$

Proof. This is Lemma 2.5 in Bassily, Kátai and Wijsmuller [1]. □

Lemma 2. *Given integers $k \geq 0$ and $D \geq 1$, let $U_k(x, D) := \#\{n \leq x : D \mid \varphi_k(n)\}$. Then, for every integer $k \geq 0$, there exist constants $C(k, 0), C(k, 1), C(k, 2) \dots$ satisfying $C(k, r) \leq C(k, r+1)$ for $r = 0, 1, 2, \dots$, such that*

$$U_k(x, D) \leq C(k, \Omega(D)) \frac{x (\log_2 x)^{k\Omega(D)}}{D} \quad (1 \leq D \leq x, x > e^e).$$

Proof. The proof of this result can be found in Bassily, Kátai and Wijsmuller [2]. □

3 Main results

Theorem 1. *Given any positive integer k ,*

$$\lambda(\varphi_k(n)) \rightarrow 1 \quad \text{as } n \rightarrow \infty \quad \text{on a set of density 1.}$$

Theorem 2. *Let k be a positive integer. Given an arbitrarily small number $\varepsilon > 0$,*

$$\frac{1}{\pi(x)} \#\{p \leq x : |\lambda(\varphi_k(p-1)) - 1| > \varepsilon\} \rightarrow 0 \quad \text{as } x \rightarrow \infty.$$

4 Proof of Theorem 1

One can write any integer $n \geq 2$ as $n = A(n) \cdot B(n)$, where $A(n)$ is the square-full part of n and $B(n)$ its square-free part. In light of Lemma 2, we have

$$U_k^*(x, p^2) := \#\left\{\frac{x}{2} < n \leq x : p^2 \mid \varphi_k(n)\right\} \leq C(k, 2) \frac{x(\log_2 x)^{2k}}{p^2}.$$

As a consequence of this inequality, we have that

$$\begin{aligned} \sum_{p > (\log_2 x)^k} U_k^*(x, p^2) &\leq 2C(k, 2)x(\log_2 x)^{2k} \int_{(\log_2 x)^k}^{\infty} \frac{du}{u^2 \log u} \\ &\leq \frac{4C(k, 2)x}{k \log_3 x} = o(x) \quad (x \rightarrow \infty). \end{aligned}$$

It follows from this that

$$(4.1) \quad P(A(\varphi_k(n))) \leq (\log_2 x)^k \quad \text{for almost all } n \leq x.$$

Hence, assuming (4.1), we have, in light of (2.3),

$$\begin{aligned} \frac{A(\varphi_k(n))}{\gamma(A(\varphi_k(n)))} &= \prod_{p^\alpha \parallel \varphi_k(n)} p^{\alpha-1} \leq ((\log_2 x)^k)^{\Delta(\varphi_k(n))} \\ &\leq \exp\{2ka_{k-1}(\log_2 x)^k \log_3 x \log_4 x\} \leq \exp\{\varepsilon_x \cdot \log x\}, \end{aligned}$$

say. It follows from this that, for almost all $n \leq x$, we have

$$\begin{aligned} \gamma(\varphi_k(n)) &= \gamma(A(\varphi_k(n))) \cdot \gamma(B(\varphi_k(n))) \\ &\geq \gamma(B(\varphi_k(n))) A(\varphi_k(n)) \exp\{-\varepsilon_x \cdot \log x\} \\ &= B(\varphi_k(n)) A(\varphi_k(n)) \exp\{-\varepsilon_x \cdot \log x\} \\ &= \varphi_k(n) \exp\{-\varepsilon_x \cdot \log x\}. \end{aligned}$$

Hence, for all but $o(x)$ of integers $n \in [x/2, x]$, we have

$$\lambda(\varphi_k(n)) = \frac{\log \varphi_k(n)}{\log \gamma(\varphi_k(n))} \leq \frac{\log \varphi_k(n)}{\log \varphi_k(n) - \varepsilon_x \cdot \log x}$$

$$(4.2) \quad \leq 1 + \frac{\varepsilon_x \log x}{\log \varphi_k(n)}.$$

On the other hand,

$$\frac{\varphi_k(n)}{n} = \prod_{j=0}^{k-1} \frac{\varphi_{j+1}(n)}{\varphi_j(n)} \geq \left(\prod_{p \leq x} \left(1 - \frac{1}{p}\right) \right)^k \geq c(\log x)^{-k},$$

thereby implying that

$$\log \varphi_k(n) \geq c \log n - ck \log_2 x \geq c \log(x/2) - ck \log_2 x \quad (n \in [x/2, x]),$$

which substituted in (4.2) yields

$$\lambda(\varphi_k(n)) \leq 1 + \frac{\varepsilon_x \log x}{c(\log(x/2) - k \log_2 x)} \leq 1 + o(1),$$

thus completing the proof of Theorem 1.

5 Proof of Theorem 2

As in the paper of Bassily, Kátai and Wijsmuller [1], we say that a $k + 1$ -tuple of primes (q_0, q_1, \dots, q_k) is a k -chain if $q_{i-1} \mid q_i - 1$ for $i = 1, 2, \dots, k$.

Before we start the proof of Theorem 2, we shall prove the following lemma.

Lemma 3. *If $p_0 \mid \varphi_k(n)$, then there exists an ℓ -chain $(p_0, p_1, \dots, p_\ell)$ with $\ell \leq k$ and $p_\ell \mid n$.*

Proof. We use an induction argument. First of all, in the case $k = 1$, if $p_0 \mid \varphi(n)$, then either $p_0 \mid n$, in which case we are done, or $p_0 \mid p_1 - 1$ with $p_1 \mid n$, in which case the result holds also. So let us assume that the result is true up to $k - 1$. If $p_0 \mid \varphi_k(n)$, then either $p_0 \mid \varphi_{k-1}(n)$, in which case we are done, or $p_1 \mid \varphi_{k-1}(n)$ with $p_1 \equiv 1 \pmod{p_0}$. By applying the induction argument, the result is then also true for k . \square

We are now ready to prove Theorem 2.

Let $\delta > 0$ be small number and let x be a fixed large number.

We first drop those primes $p \leq x$ for which any of the following three condition holds:

$$(i) \quad |\omega(\varphi_j(p-1)) - a_j(\log_2 x)^{j+1}| > \delta(\log_2 x)^{j+1} \text{ for at least one } j \in \{1, \dots, k\};$$

$$(ii) \quad \sum_{\substack{q \mid p-1 \\ x^\delta < q < x^{1/3}}} 1 \leq 2;$$

$$(iii) \quad P(p-1) > x^{1-\delta}.$$

Indeed, the number of primes $p \leq x$ thereby dropped is at most $c\delta x/\log x$. To see this, observe that, in light of (2.2), the number of those primes $p \leq x$ dropped by condition (i) does not exceed $c_1\delta\pi(x)$, while the number of those primes $p \leq x$ dropped through condition (iii) clearly does not exceed $c_2\delta x/\log x$. Finally, to account for the number of primes $p \leq x$ dropped through condition (ii), observe that, setting $\omega_1(p-1) := \sum_{\substack{q|p-1 \\ x^\delta < q < x^{1/3}}} 1$, we have

$$\sum_{p \leq x} \left(\omega_1(p-1) - \sum_{x^\delta < q < x^{1/3}} \frac{1}{q-1} \right)^2 \leq c_3 \text{li}(x) \sum_{x^\delta < q < x^{1/3}} \frac{1}{q}$$

and therefore, since $\sum_{x^\delta < q < x^{1/3}} \frac{1}{q-1} = \log(1/3) + \log(1/\delta) + o(1)$ (as x becomes large),

we may conclude that the number of those primes $p \leq x$ dropped through condition (ii) is at most $c_3\delta \text{li}(x)$. It follows from these observations that, indeed, the number of primes $p \leq x$ thereby dropped by conditions (i), (ii) and (iii) is at most $c\delta x/\log x$.

We shall now denote by $\overline{\varphi}_x$ the set of those primes $p \leq x$ not dropped by any of the above three conditions and further introduce the quantities

$$(5.1) \quad z = z(x) = \frac{\log x}{2^{k+1} \cdot (\log_2 x)^{5k+1}}, \quad T = T(x) = \lfloor (\log_2 x)^{5k+1} \rfloor.$$

Given a prime $Q \leq z$, let us count the number of those primes $p \in \overline{\varphi}_x$ for which $Q^{2^{kT}} \mid \varphi_k(p-1)$ and write $\varphi_{k-1}(p-1) = \pi_1^{\alpha_1} \cdots \pi_m^{\alpha_m}$. For each $k \in \mathbb{N}$, two separate cases can then occur:

- Case $I(k)$: $Q^{2^{k-1}T} \mid \varphi_{k-1}(p-1)$;
- Case $II(k)$: there exists a prime π_j for which $\pi_j - 1 \equiv 0 \pmod{Q^{\lfloor 2^{k-1}T/m \rfloor}}$.

We start with Case $II(k)$. Set $q_0 := \pi_j$. For any given such q_0 , there exists a prime p and a ℓ -chain $(q_0, q_1, \dots, q_\ell)$ with $\ell \leq k$ for which $q_\ell \mid p-1$. We then have two possibilities: either $\ell = k$ or $\ell < k$. Assume first that $\ell = k$. In this case, let S denote the scenario

$$q_{j+1} - 1 \equiv 0 \pmod{q_j} \text{ for } j = 1, \dots, k-1 \quad \text{and} \quad q_0 - 1 \equiv 0 \pmod{Q^{\lfloor 2^{k-1}T/m \rfloor}}.$$

Summing over all such possible scenarios S , we get

$$(5.2) \quad \sum_S \pi(x; q_{k-1}, 1) \leq C(\delta) \text{li}(x) \sum_S \frac{1}{q_{k-1}}.$$

Observe that

$$\sum_S \frac{1}{q_{k-1}} = \sum_{q_0} \frac{1}{q_0} \cdots \sum_{q_{k-1}} \frac{1}{q_{k-1}}$$

$$\begin{aligned}
&\leq c \log_2 x \sum_{q_0} \frac{1}{q_0} \cdots \sum_{q_{k-2}} \frac{1}{q_{k-2}} \\
&\quad \vdots \\
&\leq c(\log_2 x)^{k-1} \sum_{\substack{q_0-1 \equiv 0 \\ (\text{mod } Q^{\lfloor 2^{k-1}T/m \rfloor})}} \frac{1}{q_0}. \\
(5.3) \quad &\leq \frac{c(\log_2 x)^k}{Q^{\lfloor 2^{k-1}T/m \rfloor}},
\end{aligned}$$

where we used Lemma 1. It follows from the definition of T given in (5.1) that

$$\lfloor 2^{k-1}T/m \rfloor \geq (1 - 2\delta)2^{k-1}(\log_2 x)^{3k} \geq 2^{k-2}(\log_2 x)^{4k},$$

say. Using this last estimate in (5.3), we obtain that

$$(5.4) \quad \sum_S \frac{1}{q_{k-1}} \leq \frac{c(\log_2 x)^k}{Q^{2^{k-2}(\log_2 x)^{4k}}}.$$

In the case where $\ell < k$, one can easily show that the same bound (as in (5.4)) still holds. Hence, in both cases, by substituting (5.4) in (5.2), it follows that the number of primes $p \in \bar{\varphi}_x$ satisfying Case II(k) is no more than

$$\frac{c(\log_2 x)^k}{Q^{2^{k-2}(\log_2 x)^{4k}}} C(\delta) \text{li}(x).$$

The Case $I(k)$ can be reduced to the Case $I(k-1)$, for which the estimate is similar. In Case $I(0)$, we have $Q^T \mid p-1$, which occurs less than $\text{cli}(x)/Q^T$ times. Hence, from the above reasoning, it follows that

$$\#\{p \in \bar{\varphi}_x : Q^{2^{kT}} \mid \varphi_k(p-1)\} \leq cC(\delta) \text{li}(x) \frac{(\log_2 x)^k}{Q^{2^{k-2}(\log_2 x)^{4k}}}.$$

Thus, the number of primes $p \in \bar{\varphi}_x$ for which there is at least one prime $Q \leq z$ for which $Q^{2^{kT}} \mid \varphi_k(p-1)$ is at most $o(\text{li}(x))$ as $x \rightarrow \infty$.

Let us now introduce the function

$$E_k(p; z) := \prod_{\substack{q^{\alpha_q} \parallel \varphi_k(p-1) \\ q \leq z}} q^{\alpha_q}.$$

Observe that we have just established that for every $q \leq z$ with $q^{\alpha_q} \parallel \varphi_k(p-1)$, we can assume that $\alpha_q \leq 2^k T$ and that this holds for all $p \in \bar{\varphi}_x$ with at most $o(\text{li}(x))$ exceptions.

Hence, using (5.1), it follows that

$$(5.5) \quad E_k(p; z) \leq \left(\prod_{q \leq z} q \right)^{2^{kT}} \leq \exp\{2^{k+1}Tz\} \leq \exp\left\{ \frac{\log x}{\log \log x} \right\}.$$

Let $P_2(n) := \max_{Q^2|n} Q^2$ stand for the largest prime squared divisor of n , setting $P_2(n) = 1$ if n is square-free. We then have

$$\begin{aligned} \#\{p \leq x : Q^2 \mid \varphi_k(p-1)\} &\leq \#\{n \leq x : Q^2 \mid \varphi_k(n)\} \\ &\leq \frac{C(k, 2)x \cdot (\log_2 x)^{2k}}{Q^2}, \end{aligned}$$

from which it follows that

$$\sum_{Q > Y} \#\{p \leq x : Q^2 \mid \varphi_k(p-1)\} \leq c \frac{x \cdot (\log_2 x)^{2k}}{Y \log Y},$$

which itself implies that

$$\frac{1}{\pi(x)} \#\{p \leq x : P_2(\varphi_k(p-1)) > (\log x \cdot (\log_2 x)^{2k})^2\} \rightarrow 0 \text{ as } x \rightarrow \infty.$$

It follows from this estimate that we only need to consider those primes $p \leq x$ such that $P_2(\varphi_k(p-1)) \leq (\log x \cdot (\log_2 x)^{2k})^2$.

Recalling the definition of $z = z(x)$ provided in (5.1), we now introduce the interval

$$\mathcal{L} = \mathcal{L}(x) = [z(x), (\log x)(\log_2 x)^{2k}].$$

For each $Q \in \mathcal{L}$, we will now estimate

$$H(Q) := \#\{p \in \overline{\mathfrak{p}}_x : Q^2 \mid \varphi_k(p-1)\}.$$

One can easily see that if $Q^2 \mid \varphi_k(p-1)$, then one of the following situation occurs:

- (a) $Q^3 \mid \varphi_{k-1}(p-1)$;
- (b) $Q^2 \parallel \varphi_{k-1}(p-1)$ and $\pi \mid \varphi_{k-1}(p-1)$ with $\pi \equiv 1 \pmod{Q}$;
- (c) there exist $\pi_1, K_1 \in \mathfrak{p}$ such that $Q \mid \pi_1 - 1$, $Q \mid K_1 - 1$, $\pi_1 \neq K_1$, $\pi_1 K_1 \mid \varphi_{k-1}(p-1)$.

In the worst case scenario, that is in case (c), we have the following situation:

$$(R) : \quad \begin{array}{ccccccc} Q & \rightarrow & \pi_1 & \rightarrow & \pi_2 & \rightarrow & \cdots & \rightarrow & \pi_k \\ Q & \rightarrow & K_1 & \rightarrow & K_2 & \rightarrow & \cdots & \rightarrow & K_k \end{array} \quad \pi_k K_k \mid p-1.$$

(Here, $\pi_j \rightarrow \pi_{j+1}$ means that $\pi_{j+1} - 1 \equiv 0 \pmod{\pi_j}$.)

Now, using Lemma 1, the number of such primes $p \leq x$ does not exceed

$$\begin{aligned} \sum_{(R)} \pi(x; \pi_k K_k, 1) &\leq C(\delta) \text{li}(x) \sum_{(R)} \frac{1}{\pi_k K_k} \\ &\leq C(\delta) \text{li}(x) (\log_2 x)^2 \sum_{(R)} \frac{1}{\pi_{k-1} K_{k-1}} \end{aligned}$$

$$\begin{aligned}
& \vdots \\
& \leq C(\delta) \operatorname{li}(x) (\log_2 x)^{2(k-1)} \sum_{\substack{\pi_1 - 1 \equiv 0 \pmod{Q} \\ K_1 - 1 \equiv 0 \pmod{Q}}} \frac{1}{\pi_1 K_1} \\
& \ll C(\delta) \operatorname{li}(x) \frac{(\log_2 x)^{2k}}{Q^2}.
\end{aligned}$$

Since it is clear that

$$\sum_{Q \in \mathcal{L}} \frac{1}{Q^2} \leq \frac{c}{z(x) \log z(x)}$$

and since the contribution of the other cases, namely cases (a) and (b), is no larger than the worst case, we obtain that

$$P_2(\varphi_k(p-1)) \leq z^2(x) \quad \text{for all but } o(\pi(x)) \text{ of the primes } p \in \overline{\varrho}_x.$$

Let us now set

$$V_k(p; z) := \prod_{\substack{q^{\alpha q} \parallel \varphi_k(p-1) \\ q > z}} q^{\alpha q}.$$

Since $V_k(p; z) = \prod_{\substack{q \mid \varphi_k(p-1) \\ q > z}} q$ for all $p \in \overline{\varrho}_x$, with the possible exception of $o(\pi(x))$ primes, it follows, using (5.5), that

$$\gamma(\varphi_k(p-1)) \geq \frac{\varphi_k(p-1)}{E_k(p; z)} \geq \varphi_k(p-1) \cdot x^{-\varepsilon_x},$$

where $\varepsilon_x \rightarrow 0$ as $x \rightarrow \infty$, so that

$$\lambda(\varphi_k(p-1)) = \frac{\log \varphi_k(p-1)}{\log \gamma(\varphi_k(p-1))} \leq \frac{\log \varphi_k(p-1)}{\log \varphi_k(p-1) - \varepsilon_x \log x} = 1 + \frac{\varepsilon_x \log x}{\log \varphi_k(p-1)},$$

thereby implying

$$\#\{p \leq x : |\lambda(\varphi_k(p-1)) - 1| \geq \varepsilon\} \leq C(\delta) \pi(x) + o(\pi(x)),$$

thus completing the proof of Theorem 2.

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