On the uniform distribution of certain sequences involving the Euler totient function and the sum of divisors function

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Abstract

We examine the uniform distribution of certain sequences involving the Euler totient function and the sum of divisors function.

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1 Introduction and notation

Let us denote by $\phi(n)$ the well known Euler totient function and by $\sigma(n)$ the sum of the positive divisors of n.

Let also \mathcal{M} (resp. \mathcal{A}) be the set of multiplicative (resp. additive) functions and \mathcal{M}_1 the set of those $f \in \mathcal{M}$ such that |f(n)| = 1 for all positive integers n. For each $y \in \mathbb{R}$, we set $e(y) := e^{2\pi i y}$.

A famous result of H. Daboussi (see Daboussi and Delange [2], [3]) asserts that

(1.1)
$$\sup_{f \in \mathcal{M}_1} \frac{1}{x} \left| \sum_{n \le x} f(n) e(n\alpha) \right| \to 0 \quad \text{as } x \to \infty$$

for every $\alpha \in \mathbb{R} \setminus \mathbb{Q}$.

The proof of (1.1) is based on the large sieve inequality. Another proof follows from a general form of the Turán-Kubilius inequality.

Here, we examine the uniform distribution of certain sequences involving the Euler totient function and the sum of divisors function.

From here on, we let \wp stand for the set of all primes and we let $\{y\}$ be the fractional part of y. We also let P(n) stand for the largest prime factor of n.

2 Background results

The following result was obtained by the second author [7].

Theorem A. Let $t : \mathbb{N} \to \mathbb{R}$. Assume that for every real number K > 0, there exists a finite set \wp_K of primes $p_1 < p_2 < \cdots < p_k$ such that

(2.1)
$$A_K := \sum_{i=1}^k \frac{1}{p_i} > K$$

and that, given any pair $i \neq j, i, j \in \{1, 2, ..., k\}$, the corresponding sequence

$$\eta_{i,j}(m) = t(p_i m) - t(p_j m) \qquad (m \in \mathbb{N})$$

satisfies the relation

$$\frac{1}{x}\sum_{m\leq x}e(\eta_{i,j}(m))\to 0\qquad \text{as }x\to\infty.$$

Then there exists a function ρ_x for which $\rho_x \to 0$ as $x \to \infty$ and such that

$$\sup_{f \in \mathcal{M}_1} \frac{1}{x} \left| \sum_{n \le x} f(n) e(t(n)) \right| \le \rho_x.$$

Observe that Theorem A holds in particular if one chooses $t(n) := \alpha_r n^r + \cdots + \alpha_1 n$, a polynomial with real coefficients where at least one the α_i 's is irrational.

Recall that the *discrepancy* of a set of N real numbers x_1, \ldots, x_N is the quantity

$$D(x_1, \dots, x_N) := \sup_{[a,b) \subseteq [0,1)} \left| \frac{1}{N} \sum_{\{x_\nu\} \in [a,b)} 1 - (b-a) \right|.$$

We now consider the set \mathcal{T} of all those real valued arithmetic functions t for which the sequence

$$\eta_n(F) := F(n) + t(n) \qquad (n \in \mathbb{N})$$

satisfies

$$D((\eta_1(F), \eta_2(F), \dots, \eta_N(F))) \to 0$$
 as $N \to \infty$

for every arithmetic function F.

The following result is then a consequence of Theorem A.

Corollary 1. Assume that for every real number K > 0, one can choose a set of primes $\wp_K = \{p_1, p_2, \ldots, p_k\}$ for which (2.1) holds, and let $t : \mathbb{N} \to \mathbb{R}$ be a function such that the sequence $(t(p_im) - t(p_jm))_{m \ge 1}$ is uniformly distributed modulo 1 for every pair of integers $i \neq j, i, j \in \{1, 2, \ldots, k\}$. Then $t \in \mathcal{T}$.

Remark 1. Observe that it is clear that if $t \in \mathcal{T}$, then the sequence $(t(n))_{n\geq 1}$ is uniformly distributed modulo 1.

Note also that, letting ||x|| stand for the distance between x and the nearest integer, we proved in [4] the following.

Theorem B. If α is a positive irrational number such that for each real number $\kappa > 1$ there exists a positive constant $c = c(\kappa, \alpha)$ for which the inequality

$$\|\alpha q\| > \frac{c}{q^{\kappa}}$$
 holds for every positive integer q ,

and let $Q(x) = a_r x^r + \cdots + a_0 \in \mathbb{R}[x]$, where $a_r > 0$. Assume that h is an integer valued function belonging to \mathcal{M}_1 such that h(p) = Q(p) for every $p \in \wp$ and that for some fixed d > 0 we have $h(p^a) = O(p^{da})$ for every prime power p^a . Then the function $t(n) = \alpha h(n)$ belongs to \mathcal{T} .

It follows from Theorem B and Remark 1 that the sequence $(\{\alpha\sigma(n)\})_{n\geq 1}$ is uniformly distributed modulo 1.

Remark 2. Observe that one can construct an irrational number α for which the corresponding sequence $(\{\alpha\sigma(n)\})_{n\geq 1}$ is not uniformly distributed modulo 1. Indeed, consider the sequence of integers $(\ell_k)_{k\geq 1}$ defined by $\ell_1 = 1$ and $\ell_{k+1} = 2^{2^{2^{\ell_k}}}$ for each integer $k \geq 1$. Then consider the number

$$\alpha := \sum_{i=1}^{\infty} \frac{1}{2^{\ell_i}}$$

It is clear that, letting $A_k := \sum_{i=1}^k 1/2^{\ell_i}$ for each integer $k \ge 1$, we have

$$\left|\alpha - \frac{A_k}{2^{\ell_k}}\right| < \frac{2}{2^{\ell_{k+1}}} \qquad (k \ge 1).$$

For each integer $k \ge 1$, define $Y_k := 2^{\frac{1}{2} \cdot \ell_{k+1}}$. With a technique used by Wijsmuller [11], one can prove that, for any fixed $\varepsilon > 0$, setting $T_x := \lfloor (2 - \varepsilon) \log \log x \rfloor$, then

(2.2)
$$\frac{1}{x} \# \{ n \le x : \sigma(n) \equiv 0 \pmod{2^{T_x}} \} \to 1 \qquad \text{as } x \to \infty.$$

It follows from (2.2) that, for every fixed $\delta > 0$,

$$\frac{1}{Y_k} \#\{n \le Y_k : \|\alpha \sigma(n)\| < \delta\} \to 1 \qquad \text{as } k \to \infty$$

Indeed, if for some integer $n \leq Y_k$, we have $\sigma(n) \equiv 0 \pmod{2^{T_x}}$, then $T_{Y_k} > \ell_k$, in which case we have

$$\|\alpha\sigma(n)\| < \frac{2\sigma(n)}{2^{\ell_{k+1}}} \le \frac{2Y_k \log Y_k}{2^{\ell_{k+1}}}$$

which tends to 0 as $k \to \infty$. Hence, for every $\delta > 0$, we have

$$\frac{1}{x}\#\{n \le x : \|\alpha\sigma(n)\| < \delta\} \to 1 \qquad \text{as } x \to \infty,$$

thus proving our claim.

Further such constructions are given in Kátai [8]. Finally, observe that the same is also true for the sequence $(\{\alpha\phi(n)\})_{n\geq 1}$.

Now, let $\phi_k(n)$ (resp. $\sigma_k(n)$) stand for the k-th iterate of the ϕ (resp. σ) function. We first state two conjectures regarding these functions.

Conjecture 1. Let $k \in \mathbb{N}$ be fixed. Then, for almost all real numbers $\alpha \in [0, 1)$,

(2.3)
$$\sup_{f \in \mathcal{M}_1} \frac{1}{x} \left| \sum_{n \le x} f(n) e(\alpha \phi_k(n)) \right| \to 0 \qquad \text{as } x \to \infty,$$

(2.4)
$$\sup_{f \in \mathcal{M}_1} \frac{1}{x} \left| \sum_{n \le x} f(n) e(\alpha \sigma_k(n)) \right| \to 0 \qquad \text{as } x \to \infty,$$

and in particular, for almost all $\alpha \in [0, 1)$, both sequences $(\alpha \phi_k(n))_{n \geq 1}$ and $(\alpha \sigma_k(n))_{n \geq 1}$ are in \mathcal{T} .

Unfortunately this conjecture is still out of reach when $k \ge 2$. The main difficulty is that we cannot obtain a good upper bound for the quantities

$$A_k(n) := \#\{m \in \mathbb{N} : \phi_k(m) = n\}, B_k(n) := \#\{m \in \mathbb{N} : \sigma_k(m) = n\},\$$

when $k \ge 2$. Observe that, in the case k = 1, it is known (see Pomerance [10]) that

(2.5)
$$A_1(n) \le n \exp\{-(1+o(1))L(n)\} \quad (n \to \infty),$$

where

$$L(n) = \frac{(\log n)(\log \log \log n)}{\log \log n}$$

Conjecture 2. Let $k \ge 2$ be a fixed integer. There exists a positive constant c_k such that, for all integers $n \ge 2$,

(2.6)
$$A_k(n) \leq c_k \frac{n}{\log^9 n},$$

$$(2.7) B_k(n) \leq c_k \frac{n}{\log^9 n}$$

Remark 3. Observe that (2.6) holds in the case k = 1, since it is a consequence of (2.5). On the other hand, (2.7) is also true in the case k = 1, as it can be proved using the same technique developed by Pomerance [10].

3 Main results

Theorem 1. Conjecture 2 implies Conjecture 1.

Theorem 2. Given a real number α and a prime p, let $\xi_p := {\alpha \phi(p+a)}$. Then, for almost all real numbers α , the corresponding sequence $(\xi_p)_{p \in \wp}$ is uniformly distributed modulo 1.

Theorem 3. Let α be a positive irrational number such that for each real number $\kappa > 1$ there exists a positive constant $c = c(\kappa, \alpha)$ for which the inequality

$$\|\alpha q\| > \frac{c}{q^{\kappa}}$$
 holds for every positive integer q

Then, the sequence $(\{\alpha\phi(n)\}, \{\alpha\sigma(n)\})_{n\geq 1}$ is uniformly distributed modulo $[0, 1)^2$.

4 Proof of Theorems 1 and 2

We begin with Theorem 1. We shall consider only the case of ϕ_k since the case of σ_k can be handled in a similar way.

Let $N \ge 1$ be a fixed integer. Set

$$u_N = e^N, \qquad y_{h,N} = y_h = e^N + \frac{he^N}{N} \qquad (h = 1, 2, \dots, \lfloor eN \rfloor)$$

and, for $\alpha \in \mathbb{R}$,

$$K_{N,h}(\alpha) = \sum_{u_N \le n \le y_h} e(\alpha \phi_k(n)).$$

Let $S = S(N, h) = \{\phi_k(n) : n \in (u_N, y_h)\}$. Given $s \in S$, let

$$U(s) = \#\{n \in (u_N, y_h) : \phi_k(n) = s\}.$$

It is clear that $U(s) \leq A_k(s)$ for $s \leq y_h$. Hence, using (2.6), we have

(4.1)
$$\int_{0}^{1} |K_{N,h}(\alpha)|^{2} d\alpha = \sum_{s \in S} U^{2}(s) \leq \max_{s \in S} A_{k}(s) \sum_{s \in S} U(s) \leq \max_{s \in S} A_{k}(s) \sum_{n \in [u_{N}, y_{h}]} 1$$
$$\leq c_{k} \frac{e^{N}}{N^{9}} (y_{h} - u_{N}) \leq 3c_{k} \frac{e^{2N}}{N^{9}}.$$

Let

$$A_{N,h} := \left\{ \alpha \in [0,1) : \left| \frac{K_{N,h}(\alpha)}{y_h - u_N} \right| > \frac{1}{N^3} \right\}.$$

It follows from (4.1) that, letting $\lambda(S)$ stand for the Lebesgue measure of a real set S,

$$\lambda(A_{N,h}) \le \frac{3c_k}{N^3},$$

so that

(4.2)
$$\lambda\left(\bigcup_{h=1}^{\lfloor eN \rfloor} A_{N,h}\right) \leq \frac{5c_k}{N^2}.$$

Therefore, since $\sum_{N\geq 1} \frac{5c_k}{N^2} < \infty$, it follows from (4.2) that

$$\sum_{N=1}^{\infty} \lambda \left(\bigcup_{h=1}^{\lfloor eN \rfloor} A_{N,h} \right) < \infty.$$

Hence, using the well known Borel-Cantelli lemma, we have that if E is the set of all $\lfloor eN \rfloor$ those real α which belong to $\bigcup_{h=1}^{\lfloor \alpha, \gamma \rfloor} A_{N,h}$ for infinitely many N, then $\lambda(E) = 0$. Now, let $\alpha \notin E$. Then, for every $N > N_0(\alpha)$, we have

$$|K_{N,h}(\alpha)| \le \frac{1}{N^3(y_h - u_N)}.$$

We shall use this to prove that

(4.3)
$$\frac{1}{x} \sum_{n \le x} e(\alpha \phi_k(n)) \to 0 \quad \text{as } x \to \infty.$$

For $x \in [y_{h,N}, y_{h+1,N})$, letting T_N be a function tending to infinity arbitrarily slowly with N, we have

$$\sum_{n \le x} e(\alpha \phi_k(n)) = \sum_{n \le e^{N-T_N}} e(\alpha \phi_k(n)) + \sum_{e^{N-T_N} < n \le e^N} e(\alpha \phi_k(n)) + \sum_{e^N < n \le y_{h,N}} e(\alpha \phi_k(n)) + \sum_{y_{h,N} < n \le x} e(\alpha \phi_k(n)) = S_1 + S_2 + S_3 + S_4,$$

say. Trivially we have

$$(4.4) |S_1| \le \frac{x}{e^{T_N}}.$$

From (4.2), we have

(4.5)
$$|S_2| \le \sum_{N-T_N \le M \le N} \frac{5c_k e^M}{M^2} \le \frac{d_k x}{N-T_N}$$

for some constants d_k . Finally,

$$(4.6) |S_3| \le \frac{5c_k x}{N}$$

and

(4.7)
$$|S_4| \le y_{h+1,N} - y_{h,N} \le \frac{e^N}{N} \le \frac{x}{N}.$$

Gathering (4.4), (4.5), (4.6) and (4.7), estimate (4.3) follows.

On the other hand, letting E_{ℓ} be the set of those α for which $\{\alpha \ell\} \in E$, then $\lambda(E_{\ell}) = 0$, while if $\alpha \notin E_{\ell}$, then

$$\frac{1}{x} \sum_{n \le x} e(\alpha \ell \phi_k(n)) \to 0 \quad \text{as } x \to \infty.$$

Let q(n) be the smallest prime Q such that $Q \nmid n$. In order to complete the proof of the Theorem 1, we need the following result.

Lemma 1. Let $k \in \mathbb{N}$. There exists a function y_x which tends to infinity with x such that

(4.8)
$$\frac{1}{x} \#\{n \le x : q(\phi_k(n)) \le y_x\} \to 0 \qquad as \ x \to \infty.$$

Proof. By choosing $y_x = (\log \log x)^{k(1-\varepsilon)}$ for a fixed small $\varepsilon > 0$, and by using the same techniques as in Erdős, Granville, Pomerance and Spiro [5] or as in Bassily and Kátai [1], one can easily obtain (4.8).

We may now complete the proof of Theorem 1. Let $\wp_K = \{p_1, p_2, \ldots, p_k\}$ be a set of primes satisfying (2.1) and let $t(m) = \alpha \phi_k(m)$. Observe that in general we have that if $u \mid \phi(v)$, then $\phi(u\phi(v)) = u\phi(\phi(v))$. Using this observation and Lemma 1, we have that $t(p_im) = \alpha p_i\phi_k(m)$, so that

$$\eta_{i,j}(m) = t(p_i m) - t(p_j m) = \alpha(p_i - p_j)\phi_k(m).$$

Hence, the sequence $(\eta_{i,j}(m))_{m\geq 1}$ is uniformly distributed modulo 1 if $\alpha(p_i - p_j) \notin E$. We can drop those α which belong to the set

$$F = \bigcup_{K=1}^{\infty} \bigcup_{\substack{i,j=1,\dots,R_K\\i\neq j}} E_{K(p_i-p_j)},$$

where $R_K = \# \wp_k$, since $\lambda(F) = 0$. On the other hand, if $\alpha \notin F$, then the statement of Theorem 1 certainly holds. Thus, the proof of Theorem 1 is complete.

We will omit the proof of Theorem 2 since it can be obtained by repeating the arguments used in the proof of Theorem 1 and the techniques used in the proof of (2.5).

5 Proof of Theorem 3

In order to prove that a given sequence $((u_n, v_n))_{n\geq 1}$ is uniformly distributed mod $[0, 1)^2$, it is clear that we only need to prove that the sequence $(ku_n + \ell v_n)_{n\geq 1}$ is uniformly distributed modulo 1 for all $(k, \ell) \in \mathbb{Z} \times \mathbb{Z}$ with $(k, \ell) \neq (0, 0)$.

Given a fixed $(k, \ell) \in \mathbb{Z} \times \mathbb{Z}$ with $(k, \ell) \neq (0, 0)$, consider the functions

$$A(n) = \alpha(k\sigma(n) + \ell\phi(n)), \qquad B(n) = \alpha(k\sigma(n) - \ell\phi(n)).$$

To prove the theorem, it is sufficient to establish that

(5.1)
$$\frac{1}{x} \sum_{n \le x} e(A(n)) \to 0 \quad \text{as } x \to \infty.$$

One can easily establish that, for each $\varepsilon > 0$, there exists $c = c(\varepsilon)$ such that $\lim_{\varepsilon \to 0} c(\varepsilon) = 0$ and such that

$$\frac{1}{x}\#\{n \le x : P(n) \le x^{\varepsilon}\} + \frac{1}{x}\#\{n \le x : P(n) \ge x^{1-\varepsilon}\} \le c(\varepsilon).$$

Therefore, in order to prove (5.1), it is sufficient to prove that

(5.2)
$$\frac{1}{x} \sum_{\substack{n \le x \\ x^{\varepsilon} < P(n) < x^{1-\varepsilon}}} e(A(n)) \to 0 \quad \text{as } x \to \infty$$

Now, given an integer $n \leq x$, we write n = mp, where p = P(n). Since

$$\#\{n \le x : P(n) > x^{\varepsilon} \text{ and } p \mid m\} \le x \sum_{p > x^{\varepsilon}} \frac{1}{p^2} = o(x),$$

in order to prove (5.2), we only need to prove that

(5.3)
$$\frac{1}{x} \sum_{\substack{n \le x \\ P(n) < x^{1-\varepsilon}}} e(A(n)) \to 0 \quad \text{as } x \to \infty.$$

Now, observe that if (p, m) = 1, then clearly,

$$A(pm) = pA(m) + B(m),$$

so that

$$\sum_{\substack{n \le x \\ P(n) < x^{1-\varepsilon}}} e(A(n)) = \sum_{m \le x^{1-\varepsilon}} e(B(m)) \left\{ \sum_{p < x/m} e(pA(m)) - \sum_{p \le P(m)} e(pA(m)) \right\}$$

$$(5.4) = S_A(m) + S_B(m),$$

say.

We consider the two cases:

- (a) A(m) = 0;
- (b) $A(m) \neq 0$.

In case (a), we have that $k\sigma(m) + \ell\phi(m) = 0$, so that $\frac{\sigma(m)}{\phi(m)} = -\frac{\ell}{k}$. We will prove that

We will prove that

(5.5)
$$\frac{1}{y} \# \left\{ m \in [y, 2y], \ \frac{\sigma(m)}{\phi(m)} = -\frac{\ell}{k} \right\} \to 0 \quad \text{as } y \to \infty.$$

Now, according to a result of Lévy [9], if g is an additive function for which the three series

$$\sum_{|g(p)|<1} \frac{g(p)}{p}, \qquad \sum_{|g(p)|<1} \frac{g^2(p)}{p}, \qquad \sum_{|g(p)|\ge 1} \frac{1}{p}$$

are convergent, then if $(\xi_p)_{p\in\wp}$ is a sequence of independent random variables such that

(5.6)
$$P(\xi_p = g(p^a)) = \left(1 - \frac{1}{p}\right) \frac{1}{p^a} \qquad (a = 1, 2, \ldots).$$

then, the distribution F_{η} of $\eta = \sum \xi_p$ is everywhere continuous if and only if

(5.7)
$$\sum_{p \in \wp} P(\xi_p \neq 0) = \infty$$

Choosing $g(n) := \log \frac{\sigma(m)}{\phi(m)}$, we then have $g(p) = \log \frac{p+1}{p-1}$ and $g(p^a) = \log \frac{1+p+\dots+p^a}{p^{a-1}(p-1)}$.

For this function g and ξ_p as in (5.6), one can see that condition (5.7) is satisfied. Hence, using Lévy's result, we may conclude that (5.5) is satisfied.

Let *D* be the set of those positive integers *m* for which $\frac{\sigma(m)}{\phi(m)} = -\frac{\ell}{k}$ and let us estimate the right hand side of (5.4) as *m* running over *D*. We have that the right hand side of (5.4) is

$$\ll \sum_{\substack{m \le x^{1-\varepsilon} \\ m \in D}} \pi(x/m)$$

$$\leq \sum_{2^{\nu} \le x^{1-\varepsilon}/\log x} \sum_{\substack{x^{1-\varepsilon} \\ 2^{\nu+1} \le m < \frac{x^{1-\varepsilon}}{2^{\nu}}} \\ m \in D} \pi(x/m)$$

$$\leq \frac{c_{\varepsilon}x}{\log x} \sum_{\substack{2^{\nu} \leq x^{1-\varepsilon}/\log x \ \frac{x^{1-\varepsilon}}{2^{\nu+1}} \leq m < \frac{x^{1-\varepsilon}}{2^{\nu}}}} \sum_{\substack{m \in D \\ m \in D}} \frac{1}{m}$$
$$\leq o(1) \frac{c_{\varepsilon}x}{\log x} \log x = o(1),$$

where we use (5.5) with $y = \frac{x^{1-\varepsilon}}{2^{\nu+1}}$. Hence, the contribution of those $n = pm \le x$ for which $m \in D$ to the sum in $(\overline{5}.3)$ is o(x) as $x \to \infty$.

It remains to consider case (b), that is when $A(m) \neq 0$. First, we set $\tau =$ $x/(\log x)^{30}$. Then, there exists a sequence of rational numbers $(a_m/q_m)_{m\geq 1}$ such that

(5.8)
$$\left| A(m) - \frac{a_m}{q_m} \right| \le \frac{1}{q_m \tau} \qquad (m = 1, 2, \ldots),$$

where $1 \le q_m \le \tau$ for each integer $m \ge 1$. If $q_m > \log^{40} x$, arguing as in [1], we obtain that

$$S_A(m) \ll \frac{x/m}{\log^2(x/m)},$$

so that

(5.9)
$$\sum_{\substack{m \le x^{1-\varepsilon} \\ m \notin D}} e(B(m))S_A(m) = o(x).$$

On the other hand,

(5.10)
$$\sum_{\substack{m \le x^{1-\varepsilon} \\ m \notin D}} e(B(m))S_B(m) \ll \sum_{\substack{mP(m) \le x \\ m \le x^{1-\varepsilon}}} \frac{P(m)}{\log P(m)} = o(x),$$

where the fact that this last sum is o(x) was proved in our 2005 paper [4]). Thus, combining (5.9) and (5.10) shows that the contribution of those $n = pm \leq x$ for which $m \notin D$ to the sum in (5.3) is o(x) as $x \to \infty$.

On the other hand, if $q_m \leq \log^{40} x$, then it follows from (5.8) that

$$\alpha - \frac{a_m}{q_m(k\sigma(n) + \ell\phi(n))} \bigg| < \frac{1}{q_m(k\sigma(n) + \ell\phi(n))\tau}$$

Setting

$$\frac{a_m}{q_m(k\sigma(n) + \ell\phi(n))} := \frac{A}{Q}, \quad (A, Q) = 1,$$

it is clear that

$$Q < (\log x)^{40} (|k| \log x + |\ell|) x^{1-\varepsilon} < x^{1-\varepsilon/2},$$

provided x is large enough. Using this and (5.8), we may conclude that, for some function $\delta_x \to 0$ as $x \to \infty$, we have

$$\|Q\alpha\|Q^{1+\varepsilon/4} \le \delta(x),$$

thus contradicting our assumption (2.3). This fully establishes (5.3) and thereby completes the proof of Theorem 2.

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