

## CONSTRUCTION OF NORMAL NUMBERS USING THE DISTRIBUTION OF THE $k$ TH LARGEST PRIME FACTOR

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### Abstract

Given an integer  $q \geq 2$ , a  $q$ -normal number is an irrational number  $\eta$  such that any preassigned sequence of  $\ell$  digits occurs in the  $q$ -ary expansion of  $\eta$  at the expected frequency, namely  $1/q^\ell$ . In a recent paper we constructed a large family of normal numbers, showing in particular that, if  $P(n)$  stands for the largest prime factor of  $n$ , then the number  $0.P(2)P(3)P(4)\dots$ , the concatenation of the numbers  $P(2), P(3), P(4), \dots$ , each represented in base  $q$ , is a  $q$ -normal number, thereby answering in the affirmative a question raised by Igor Shparlinski. We also showed that  $0.P(2+1)P(3+1)P(5+1)\dots P(p+1)\dots$ , where  $p$  runs through the sequence of primes, is a  $q$ -normal number. Here, we show that, given any fixed integer  $k \geq 2$ , the numbers  $0.P_k(2)P_k(3)P_k(4)\dots$  and  $0.P_k(2+1)P_k(3+1)P_k(5+1)\dots P_k(p+1)\dots$ , where  $P_k(n)$  stands for the  $k$ th largest prime factor of  $n$ , are  $q$ -normal numbers. These results are part of more general statements.

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### 1. Introduction

Given an integer  $q \geq 2$ , a  $q$ -normal number, or simply a normal number, is an irrational number whose  $q$ -ary expansion is such that any preassigned sequence, of length  $\ell \geq 1$ , of base  $q$  digits from this expansion, occurs at the expected frequency, namely  $1/q^\ell$ .

Let  $A_q := \{0, 1, \dots, q-1\}$ . Given an integer  $\ell \geq 1$ , an expression of the form  $i_1 i_2 \dots i_\ell$ , where each  $i_j \in A_q$ , is called a word of length  $\ell$ . The symbol  $\Lambda$  will denote the empty word. We let  $A_q^\ell$  stand for the set of all words of length  $\ell$  and  $A_q^*$  stand for the set of all the words regardless of their length.

Given a positive integer  $n$ , we write its  $q$ -ary expansion as

$$n = \varepsilon_0(n) + \varepsilon_1(n)q + \dots + \varepsilon_t(n)q^t, \quad (1.1)$$

where  $\varepsilon_i(n) \in A_q$  for  $0 \leq i \leq t$  and  $\varepsilon_t(n) \neq 0$ . We associate with this representation the word

$$\bar{n} = \varepsilon_0(n)\varepsilon_1(n)\dots\varepsilon_t(n) \in A_q^{t+1}.$$

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Moreover, in the case  $n \leq 0$ , we set  $\bar{n} = \Lambda$ .

Let  $P(n)$  stand for the largest prime factor of  $n \geq 2$ , with  $P(1) = 1$ . In a recent paper [2], we showed that if  $F \in \mathbb{Z}[x]$  is a polynomial of positive degree with  $F(x) > 0$  for  $x > 0$ , then the real numbers

$$0.\overline{F(P(2))} \overline{F(P(3))} \dots \overline{F(P(n))} \dots$$

and

$$0.\overline{F(P(2+1))} \overline{F(P(3+1))} \dots \overline{F(P(p+1))} \dots,$$

where  $p$  runs through the sequence of primes, are  $q$ -normal numbers.

Here, we prove that the same result holds if  $P(n)$  is replaced by  $P_k(n)$ , the  $k$ th largest prime factor of  $n$ . The case of  $P_k(n)$  relies on the same basic tool we used to study the case of  $P(n)$ , namely a 1996 result of Bassily and Kátai [1]. However, the  $P_k(n)$  case raises new technical challenges and therefore needs a special treatment. We thereby create a much larger family of normal numbers. To conclude, we raise an open problem.

## 2. Main results

Denote by  $\omega(n)$  the number of distinct prime factors of the integer  $n \geq 2$ , with  $\omega(1) = 0$ . Given an integer  $k \geq 1$ , for each integer  $n \geq 2$ , we let  $P_k(n)$  stand for the  $k$ th largest prime factor of  $n$  if  $\omega(n) \geq k$ , while we set  $P_k(n) = 1$  if  $\omega(n) \leq k - 1$ . Thus, if  $n = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_s^{\alpha_s}$  stands for the prime factorisation of  $n$ , where  $p_1 < p_2 < \dots < p_s$ , then

$$P_1(n) = P(n) = p_s, \quad P_2(n) = p_{s-1}, \quad P_3(n) = p_{s-2}, \dots$$

Let  $F \in \mathbb{Z}[x]$  be a polynomial of positive degree satisfying  $F(x) > 0$  for  $x > 0$ . Also, let  $T \in \mathbb{Z}[x]$  be such that  $T(x) \rightarrow \infty$  as  $x \rightarrow \infty$  and assume that  $\ell_0 = \deg T$ . Fix an integer  $k \geq \ell_0$ . We then have the following results.

**THEOREM 2.1.** *The number*

$$\theta = 0.\overline{F(P_k(T(2)))} \overline{F(P_k(T(3)))} \dots \overline{F(P_k(T(n)))} \dots$$

*is a  $q$ -normal number.*

**THEOREM 2.2.** *Assuming that  $k \geq \ell_0 + 1$ , the number*

$$\rho = 0.\overline{F(P_k(T(2+1)))} \overline{F(P_k(T(3+1)))} \dots \overline{F(P_k(T(p+1)))} \dots$$

*is a  $q$ -normal number.*

### 3. Notation and preliminary lemmas

Let  $\wp$  stand for the set of all prime numbers. For each integer  $n \geq 2$ , let  $L(n) = \lfloor \log n / \log q \rfloor$ . Let  $\beta \in A_q^\ell$  and  $n$  be written as in (1.1). We then let  $v_\beta(\bar{n})$  stand for the number of occurrences of the word  $\beta$  in the  $q$ -ary expansion of the positive integer  $n$ , that is, the number of times that  $\varepsilon_j(n) \dots \varepsilon_{j+\ell-1}(n) = \beta$  as  $j$  varies from 0 to  $t - (\ell - 1)$ .

The letters  $p$  and  $Q$  will always denote prime numbers. The letter  $c$  with or without subscript always denotes a positive constant but not necessarily the same at each occurrence.

We first state two key lemmas already proved in [2].

LEMMA 3.1. *Let  $F \in \mathbb{Z}[x]$  with  $\deg(F) = r \geq 1$ . Assume that  $\kappa_u$  is a function of  $u$  such that  $\kappa_u > 1$  for all  $u$ . Given a word  $\beta \in A_q^\ell$  and setting*

$$V_\beta(u) := \#\left\{Q \in \wp : u \leq Q \leq 2u \text{ such that } \left|v_\beta(\overline{F(Q)}) - \frac{L(u^r)}{q^\ell}\right| > \kappa_u \sqrt{L(u^r)}\right\},$$

*there exists a positive constant  $c$  such that*

$$V_\beta(u) \leq \frac{cu}{(\log u)\kappa_u^2}.$$

LEMMA 3.2. *Let  $F$  and  $\kappa_u$  be as in Lemma 3.1. Given  $\beta_1, \beta_2 \in A_q^\ell$  with  $\beta_1 \neq \beta_2$ , set*

$$\Delta_{\beta_1, \beta_2}(u) := \#\left\{Q \in \wp : u \leq Q \leq 2u \text{ such that } |v_{\beta_1}(\overline{F(Q)}) - v_{\beta_2}(\overline{F(Q)})| > \kappa_u \sqrt{L(u^r)}\right\}.$$

*Then, for some positive constant  $c$ ,*

$$\Delta_{\beta_1, \beta_2}(u) \leq \frac{cu}{(\log u)\kappa_u^2}.$$

The following three lemmas will also be useful in the proofs of our theorems.

LEMMA 3.3. *Let  $\varepsilon > 0$  be a small number. Given any integer  $k \geq \ell_0 + 1$ , there exists  $x_0 = x_0(\varepsilon)$  such that, for all  $x \geq x_0$ ,*

$$\#\{p \in I_x : P_k(T(p+1)) < x^\varepsilon\} \leq c\varepsilon \frac{x}{\log x}. \quad (3.1)$$

*Moreover, for each integer  $k \geq \ell_0$ , there exists  $x_0 = x_0(\varepsilon)$  such that, for all  $x \geq x_0$ ,*

$$\#\{n \in I_x : P_k(T(n)) < x^\varepsilon\} \leq c\varepsilon x. \quad (3.2)$$

PROOF. For a proof of (3.1) in the case  $k = 1$  and  $T(n) = n$ , see the proof of Theorem 1 in our paper [2]. The more general case  $k \geq 2$  and  $T \in \mathbb{Z}[x]$  can be handled along the same lines. The estimate (3.2) also follows easily.  $\square$

LEMMA 3.4 (Brun–Titchmarsh inequality). *Letting  $\pi(x; m, \nu) := \#\{p \leq x : p \equiv \nu \pmod{m}\}$ , there exists a positive constant  $c$  such that*

$$\pi(x; m, \nu) < c \frac{x}{\varphi(m) \log(x/m)} \quad \text{for all } m < x,$$

where  $\varphi$  stands for the Euler function.

PROOF. For a proof, see Halberstam and Richert [4]. □

LEMMA 3.5. *For  $2 \leq y \leq x$ , let  $\Psi(x, y) = \#\{n \leq x : P(n) \leq y\}$ . Then*

$$\Psi(x, y) \ll x \exp\left(-\frac{1}{2} \frac{\log x}{\log y}\right).$$

PROOF. For a proof, see De Koninck and Luca [3]. □

#### 4. The proof of Theorem 2.1

Let  $x$  be a fixed large number. Let  $I_x = [x, 2x]$ ,  $N_0 = \lceil x \rceil$ ,  $N_1 = \lfloor 2x \rfloor$  and set

$$\theta^{(x)} := \overline{F(P_k(T(N_0)))} \overline{F(P_k(T(N_0 + 1)))} \dots \overline{F(P_k(T(N_1)))}.$$

Given any prime  $p$ , we know that

$$\#\{n \in I_x : T(n) \equiv 0 \pmod{p}\} = \frac{\rho(p)}{p} x + O(1), \tag{4.1}$$

where  $\rho(p)$  stands for the number of solutions  $n$  of the congruence  $T(n) \equiv 0 \pmod{p}$ .

On the other hand, since we have assumed that  $k \geq \ell_0$ , there exists a constant  $c > 1$  such that  $P_k(T(n)) < cx$  for all  $n \in I_x$ . We then have

$$\#\{n \in I_x : P_k(T(n)) \geq x\} \ll \pi([x, cx]) + x \sum_{x < p < cx} \frac{\rho(p)}{p} = O\left(\frac{x}{\log x}\right) = o(x). \tag{4.2}$$

Finally, given a fixed small positive number  $\delta = \delta(k)$ , setting

$$\omega_\delta(T(n)) := \sum_{\substack{p|T(n) \\ x^\delta < p < x^{1/2}}} 1,$$

we can show, using a type of Turán–Kubilius inequality, that a positive proportion of the integers  $n \in I_x$  satisfy the inequality  $\omega_\delta(T(n)) \geq k$ . It follows from this observation and from (4.2) that

$$\nu_\beta(\theta^{(x)}) = \sum_{n \in I_x} \nu_\beta(\overline{F(P_k(T(n)))}) + O(x) \approx x \log x, \tag{4.3}$$

where the constant implied by the  $\approx$  symbol may depend on  $k$  as well as on the degrees of  $T$  and  $F$ .

In order to complete the proof of the theorem it will be sufficient, in light of (4.3), to prove that given any two words  $\beta_1, \beta_2 \in A_q^\ell$ ,

$$|v_{\beta_1}(\theta^{(x)}) - v_{\beta_2}(\theta^{(x)})| = o(x \log x) \quad \text{as } x \rightarrow \infty. \tag{4.4}$$

Indeed, since  $A_q^\ell$  contains exactly  $q^\ell$  distinct words and since their respective occurrences are very close in the sense of (4.4), it will follow that

$$\frac{v_\beta(\theta^{(x)})}{x \log x} \rightarrow \frac{1}{q^\ell} \quad \text{as } x \rightarrow \infty, \tag{4.5}$$

thus establishing that  $\theta$  is a  $q$ -normal number.

In the spirit of Lemma 3.1, we will say that the prime  $Q \in I_u$  is a *bad prime* if

$$\max_{\beta \in A_q^\ell} \left| v_\beta(\overline{F(Q)}) - \frac{L(u^r)}{q^\ell} \right| > \kappa_u \sqrt{L(u^r)} \tag{4.6}$$

and a *good prime* if

$$\left| v_\beta(\overline{F(Q)}) - \frac{L(u^r)}{q^\ell} \right| \leq \kappa_u \sqrt{L(u^r)}. \tag{4.7}$$

First observe that

$$|v_{\beta_1}(\theta^{(x)}) - v_{\beta_2}(\theta^{(x)})| \leq \Sigma_1 + \Sigma_2 + \Sigma_3 + O(x), \tag{4.8}$$

where:

- in  $\Sigma_1$  we sum the expression  $m_n := |v_{\beta_1}(\overline{F(P_k(T(n))))} - v_{\beta_2}(\overline{F(P_k(T(n))))}|$  over those integers  $n \in I_x$  for which  $P_k(T(n)) < x^\epsilon$ ;
- in  $\Sigma_2$  we sum the expression  $m_n$  over those integers  $n \in I_x$  for which  $p = P_k(T(n)) \geq x^\epsilon$  with  $p$  being a good prime;
- in  $\Sigma_3$  we sum the expression  $m_n$  over those integers  $n \in I_x$  for which  $p = P_k(T(n)) \geq x^\epsilon$  with  $p$  being a bad prime.

It is clear that, in light of estimate (3.2) of Lemma 3.3,

$$\Sigma_1 \leq c\epsilon x \log x. \tag{4.9}$$

On the other hand, choosing  $\kappa_u = \log \log u$  in the range  $x^\epsilon < u < x$ ,

$$\Sigma_2 \leq cx\sqrt{\log x} \log \log x. \tag{4.10}$$

Finally,

$$\Sigma_3 = \sum_{\substack{n \in I_x \\ p=P_k(T(n)) \geq x^\epsilon \\ p \text{ bad prime}}} m_n \leq c \log x \sum_{\substack{n \in I_x \\ p=P_k(T(n)) \geq x^\epsilon \\ p \text{ bad prime}}} 1 = c \log x \Sigma_4, \tag{4.11}$$

say.

Subdivide the interval  $[x^\varepsilon, \sqrt{x}]$  into disjoint intervals  $[u, 2u)$  as follows. Let  $j_0$  be the smallest positive integer such that  $2^{j_0+1}x^\varepsilon \geq \sqrt{x}$ , so that

$$[x^\varepsilon, \sqrt{x}] \subset \bigcup_{j=0}^{j_0} J_j,$$

where

$$J_j = [u_j, u_{j+1}) := [2^j x^\varepsilon, 2^{j+1} x^\varepsilon), \quad j = 0, 1, \dots, j_0.$$

Using (4.1),

$$\begin{aligned} \Sigma_4 &\leq \sum_{j=0}^{j_0} \sum_{\substack{p \in [u_j, 2u_j) \\ p \text{ bad prime}}} \#\{n \in I_x : T(n) \equiv 0 \pmod{p}\} \\ &\leq cx \sum_{j=0}^{j_0} \sum_{\substack{p \in [u_j, 2u_j) \\ p \text{ bad prime}}} \frac{\rho(p)}{p} \\ &\leq cx \sum_{j=0}^{j_0} \frac{1}{(\log \log u_j)^2 \log u_j} \\ &\ll \frac{1}{\varepsilon} \frac{x}{(\log \log x)^2}. \end{aligned} \tag{4.12}$$

Substituting (4.12) in (4.11),

$$\Sigma_3 = O\left(\frac{x \log x}{(\log \log x)^2}\right). \tag{4.13}$$

Thus, gathering (4.9), (4.10) and (4.13) in (4.8), (4.4) follows immediately and therefore (4.5) as well, thereby completing the proof of Theorem 2.1.

### 5. The proof of Theorem 2.2

First observe that the additional condition  $k \geq \ell_0 + 1$  guarantees that, for  $p \leq x$ , we have  $Q = P_k(T(p + 1)) < x^{\ell_0/k}$ , with  $\ell_0/k < 1$ . Hence, it follows from the Brun–Titchmarsh inequality (Lemma 3.4) that

$$\sum_{\substack{p \in [x, 2x] \\ T(p+1) \equiv 0 \pmod{Q}}} 1 \ll \frac{\rho(Q)x}{\varphi(Q) \log(x/Q)} \ll \frac{\rho(Q)}{Q} \frac{x}{\log x}. \tag{5.1}$$

From this point on, the proof is somewhat similar to that of Theorem 2.1 but with various adjustments.

Let

$$\rho^{(x)} := \overline{F(P_k(T(\rho_1 + 1)))} \dots \overline{F(P_k(T(\rho_S + 1)))},$$

where  $\rho_1 < \dots < \rho_S$  is the sequence of primes appearing in the interval  $I_x$ .

Observe that, since  $S = \pi([x, 2x]) \approx x/\log x$ , we may write

$$v_{\beta}(\rho^{(x)}) = \sum_{i=1}^S v_{\beta}(\overline{F(P_k(T(\rho_i + 1)))}) + O\left(\frac{x}{\log x}\right) \approx x. \tag{5.2}$$

As in the proof of Theorem 2.1, in order to complete the proof of Theorem 2.2, it will be sufficient, in light of (5.2), to prove that, given any two arbitrary words  $\beta_1, \beta_2 \in A_q^\ell$ ,

$$|v_{\beta_1}(\rho^{(x)}) - v_{\beta_2}(\rho^{(x)})| = o(x) \quad \text{as } x \rightarrow \infty. \tag{5.3}$$

Indeed, since  $A_q^\ell$  contains exactly  $q^\ell$  distinct words and since their respective occurrences are very close in the sense of (5.3), it will follow that

$$\frac{v_{\beta}(\rho^{(x)})}{x} \rightarrow \frac{1}{q^\ell} \quad \text{as } x \rightarrow \infty, \tag{5.4}$$

thus establishing that  $\rho$  is a  $q$ -normal number.

Hence, our main task will be to estimate the difference  $|v_{\beta_1}(\rho^{(x)}) - v_{\beta_2}(\rho^{(x)})|$ , where  $\beta_1$  and  $\beta_2$  are arbitrary words belonging to  $A_q^\ell$ . To do so, we once more use the concepts of bad prime and good prime defined in (4.6) and (4.7), respectively. We first write

$$\begin{aligned} |v_{\beta_1}(\rho^{(x)}) - v_{\beta_2}(\rho^{(x)})| &\leq \sum_{i=1}^S |v_{\beta_1}(\overline{F(P_k(T(\rho_i + 1)))}) - v_{\beta_2}(\overline{F(P_k(T(\rho_i + 1)))})| + O(S) \\ &= \Sigma_1 + \Sigma_2 + \Sigma_3 + O\left(\frac{x}{\log x}\right), \end{aligned} \tag{5.5}$$

where, letting  $m_j := |v_{\beta_1}(F(P_k(T(\rho_j + 1)))) - v_{\beta_2}(F(P_k(T(\rho_j + 1))))|$ :

- in  $\Sigma_1$  we sum  $m_j$  over those  $j$  for which  $p = P_k(T(\rho_j + 1)) < x^\varepsilon$ ;
- in  $\Sigma_2$  we sum  $m_j$  over those  $j$  for which  $p = P_k(T(\rho_j + 1)) \geq x^\varepsilon$ , when  $p$  is a good prime;
- in  $\Sigma_3$  we sum  $m_j$  over those  $j$  for which  $p = P_k(T(\rho_j + 1)) \geq x^\varepsilon$ , when  $p$  is a bad prime.

Now observe that, for any prime  $Q$ ,

$$v_{\beta}(\overline{F(Q)}) \leq cL(u^r) \leq c_1 \log u \quad \text{for all } Q \in I_u. \tag{5.6}$$

Thus, using Lemma 3.3, we have, in light of (5.6), that

$$\Sigma_1 \ll \log x \cdot \frac{\varepsilon x}{\log x} = \varepsilon x. \tag{5.7}$$

Using Lemma 3.2 and estimate (5.6), we also have that

$$\Sigma_2 \leq c \frac{u}{\log u} \cdot \frac{1}{(\log \log u)^2} \cdot \log u = o\left(\frac{x}{\log x} \cdot \log x\right) = o(x). \tag{5.8}$$

Finally, it is clear, using (5.6), that

$$\Sigma_3 = \sum_{\substack{p=P_k(T(\rho_j+1)) \geq x^\epsilon \\ p \text{ bad prime}}} m_j \leq c \log x \sum_{\substack{p=P_k(T(\rho_j+1)) \geq x^\epsilon \\ p \text{ bad prime}}} 1 = c \log x \Sigma_4, \tag{5.9}$$

say. Since

$$\Sigma_4 \leq \sum_{j=0}^{j_0} \sum_{\substack{p \in [u_j, 2u_j) \\ p \text{ bad prime}}} \#\{j : T(\rho_j + 1) \equiv 0 \pmod{p}\},$$

it follows, by (5.1) and by adopting essentially the same approach used to establish (4.12), that

$$\begin{aligned} \Sigma_4 &\leq c \sum_{j=0}^{j_0} \frac{u_j}{\log u_j} \sum_{\substack{p \in [u_j, 2u_j) \\ p \text{ bad prime}}} \frac{\rho(p)}{p} \\ &\leq c \frac{x}{\log x} \sum_{j=0}^{j_0} \frac{1}{(\log \log u_j)^2 \log u_j} \\ &\ll \frac{x}{\log x (\log \log x)^2}. \end{aligned} \tag{5.10}$$

Substituting (5.10) in (5.9),

$$\Sigma_3 = O\left(\frac{x}{(\log \log x)^2}\right). \tag{5.11}$$

Substituting (5.7), (5.8) and (5.11) in (5.5), we get that, given arbitrary words  $\beta_1, \beta_2 \in A_q^\ell$ ,

$$|\nu_{\beta_1}(\rho^{(x)}) - \nu_{\beta_2}(\rho^{(x)})| < \epsilon x,$$

which proves (5.3) and in consequence (5.4), thus completing the proof of Theorem 2.2.

### 6. A related open problem

Let  $q$  be a fixed prime number. Let  $n$  be a positive integer such that  $(n, q) = 1$  and consider its sequence of divisors  $1 = d_1 < d_2 < \dots < d_{\tau(n)} = n$ , where  $\tau(n)$  stands for the number of divisors of  $n$ . Given any positive integer  $m$ , we associate with it its congruence class modulo  $q$ , thus introducing the function  $f_q(m) = \ell$ , that is,  $m \equiv \ell \pmod{q}$ . Let us now introduce the arithmetical function  $\xi$  defined by

$$\xi(n) = f_q(d_1) \dots f_q(d_{\tau(n)}) \in A_q^{\tau(n)}.$$

Given  $\beta \in A_q^k$  and  $\alpha \in A_q^*$ , let  $M(\alpha|\beta)$  stand for the number of occurrences of the word  $\beta$  in the word  $\alpha$ .



Is it true that the quantity

$$Q_k(n) := \max_{\beta \in A_q^k} \left| \frac{M(\xi(n)|\beta)(q-1)^k}{\tau(n)} - 1 \right|$$

tends to 0 for almost all positive integers  $n$  for which  $(n, q) = 1$ ?

This seems to be a difficult problem. Even proving the particular case  $Q_2(n) \rightarrow 0$  appears to be quite a challenge. But observe that the case  $k = 1$  is easy to establish. Indeed, let  $\chi$  stand for a Dirichlet character and let

$$S_\chi(n) = \sum_{d|n} \chi(d) = \prod_{p^\alpha || n} (1 + \chi(p) + \dots + \chi(p^\alpha)).$$

Then, letting  $\chi_0$  stand for the principal character,

$$\begin{aligned} \#\{d | n : d \equiv \ell \pmod{q}\} &= \frac{1}{\varphi(q)} \sum_{\chi} \bar{\chi}(\ell) S_\chi(n) \\ &= \frac{1}{\varphi(q)} \bar{\chi}_0(\ell) S_{\chi_0}(n) + \frac{1}{\varphi(q)} \sum_{\chi \neq \chi_0} \bar{\chi}(\ell) S_\chi(n) \quad (6.1) \\ &= \frac{1}{q-1} \tau(n) + \frac{1}{q-1} \sum_{\chi \neq \chi_0} \bar{\chi}(\ell) S_\chi(n). \end{aligned}$$

Now, set  $f(n) := |(S_\chi(n))/\tau(n)|$  and observe that  $|f(p^\alpha)| \leq 1$  for all prime powers  $p^\alpha$ . For each real  $Y > 0$ , let  $f_Y$  be the multiplicative function defined on prime powers  $p^\alpha$  by

$$f_Y(p^\alpha) = \begin{cases} f(p^\alpha) & \text{if } p \leq Y, \\ 1 & \text{if } p > Y. \end{cases}$$

With this definition, it is clear that  $f_Y(p^\alpha) \geq f(p^\alpha)$  and therefore that  $f_Y(n) \geq f(n)$  for all  $n \in \mathbb{N}$ . Let us also define the multiplicative function  $g_Y(n)$  implicitly by the relation  $f_Y(n) = \sum_{d|n} g_Y(d)$ , so that in particular  $g_Y(p) = f_Y(p) - 1$  for all primes  $p$  and  $g_Y(p^\alpha) = f_Y(p^\alpha) - f_Y(p^{\alpha-1})$  for all primes  $p$  and integers  $\alpha \geq 2$ . Finally, note that  $|g_Y(p^\alpha)| \leq 1$  for all  $p^\alpha$ . In light of these facts, we may thus write that, for any given  $Y > 0$ ,

$$\sum_{n \leq x} f(n) \leq \sum_{n \leq x} f_Y(n) = \sum_{\substack{d \leq x \\ P(d) \leq Y}} g_Y(d) \left\lfloor \frac{x}{d} \right\rfloor = x \sum_{\substack{d \leq x \\ P(d) \leq Y}} \frac{g_Y(d)}{d} + O(\Psi(x, Y)). \quad (6.2)$$

Since, for each fixed  $Y > 0$ , it follows from Lemma 3.5 that  $\lim_{x \rightarrow \infty} (1/x)\Psi(x, Y) = 0$ , we may conclude from (6.2) that

$$\begin{aligned} \limsup_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} f(n) &\leq \limsup_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} f_Y(n) \\ &= \limsup_{x \rightarrow \infty} \sum_{\substack{d \leq x \\ P(d) \leq Y}} \frac{g_Y(d)}{d} \\ &= \prod_{p \leq Y} \left( 1 + \frac{f(p) - 1}{p} + \frac{f(p^2) - f(p)}{p^2} + \dots \right) \tag{6.3} \\ &= \prod_{p \leq Y} \left( 1 - \frac{1}{p} \right) \left( 1 + \frac{f(p)}{p} + \frac{f(p^2)}{p^2} + \dots \right) \\ &= \prod_{p \leq Y} L_p, \end{aligned}$$

say. Observe that

$$0 \leq L_p \leq \exp\left(-\frac{1}{p} + \frac{f(p)}{p} + O\left(\frac{1}{p^2}\right)\right). \tag{6.4}$$

Thus, using (6.4) in (6.3), we get that, for some constants  $c_1 > 0$ ,

$$\limsup_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} f(n) \leq \exp\left(\sum_{p \leq Y} \frac{f(p) - 1}{p} + c_1\right). \tag{6.5}$$

Now, since  $\chi$  is not the principal character, there must exist at least one nonzero residue class modulo  $\ell \pmod{q}$  such that

$$f(p) = \left| \frac{\chi(p) + 1}{2} \right| = \beta < 1 \quad \text{for all primes } p \equiv \ell \pmod{q}.$$

Using this in (6.5), we get that, for some positive constants  $c_2$  and  $c_3$ ,

$$\begin{aligned} \limsup_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} f(n) &\leq \exp\left(\sum_{\substack{p \leq Y \\ p \equiv \ell \pmod{q}}} \frac{\beta - 1}{p} + c_1\right) \\ &= \exp\left(\frac{\beta - 1}{\varphi(q)} \log \log Y + c_2\right) = \frac{c_3}{\log^{(1-\beta)/(q-1)} Y}. \end{aligned}$$

Since  $1 - \beta > 0$  and since  $Y$  was chosen arbitrarily, it follows that

$$\lim_{x \rightarrow \infty} \frac{1}{x} \sum_{n \leq x} f(n) = 0,$$

thereby implying that  $f(n) = o(1)$  for almost all  $n$ .

Using this observation, it follows from (6.1) that

$$\#\{d \mid n : d \equiv \ell \pmod{q}\} = \frac{1}{q-1} \tau(n) + o(\tau(n)),$$

for almost all  $n$ , thus establishing the case  $Q_1(n) \rightarrow 0$  for almost all positive integers  $n$  such that  $(n, q) = 1$ , as claimed.

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### References

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