

Counting the number of twin Niven numbers

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Abstract Given an integer $q \geq 2$, we say that a positive integer is a q -Niven number if it is divisible by the sum of its digits in base q . Given an arbitrary integer $r \in [2, 2q]$, we say that $(n, n + 1, \dots, n + r - 1)$ is a q -Niven r -tuple if each number $n + i$, for $i = 0, 1, \dots, r - 1$, is a q -Niven number. We show that there exists a positive constant $c = c(q, r)$ such that the number of q -Niven r -tuples whose leading component is $< x$ is asymptotic to $cx/(\log x)^r$ as $x \rightarrow \infty$.

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1 Introduction

A positive integer n is said to be a *Niven number* (or a Harshad number) if it is divisible by the sum of its decimal digits. For instance, 24 is a Niven number, while 25 is not. More generally, given an integer $q \geq 2$, we say that a positive integer is a

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q -Niven number if it is divisible by the sum of its digits in base q . Let $\mathcal{N}_q(x)$ stand for the number of q -Niven numbers $< x$.

In [4], we established that, as $x \rightarrow \infty$,

$$\mathcal{N}_q(x) = (\eta_q + o(1)) \frac{x}{\log x} \quad \text{with } \eta_q = \frac{2 \log q}{(q-1)^2} \sum_{j=1}^{q-1} (j, q-1).$$

Independently, Mauduit, Pomerance and Sárközy [6] obtained the same result, but with an error term.

We shall say that the positive integers $n, n + 1$ are *twin Niven numbers* in base q if they are both q -Niven numbers. More generally, given an integer $r \geq 2$, we shall say that $(n, n + 1, \dots, n + r - 1)$ is a q -Niven r -tuple if each number $n + i$, for $i = 0, 1, \dots, r - 1$, is a q -Niven number.

In 1993, Cooper and Kennedy [2] showed that it is not possible to have a sequence of more than 20 consecutive Niven numbers. In 1994, Grundman [5] showed that, given any integer $q \geq 2$, no q -Niven r -tuple, with $r > 2q$, exists. Moreover, she conjectured that, for each integer $r, 2 \leq r \leq 2q$, there exist infinitely many q -Niven r -tuples. In 1996, Cai [1] proved that, if $q = 2$ or 3 , this conjecture is true and in 1997, Wilson [7] showed that the conjecture is true for any integer $q \geq 2$. In this paper, we prove a quantitative version of this.

Given fixed integers $q \geq 2$ and $2 \leq r \leq 2q$, let $\mathcal{N}_q^{(r)}(x)$ stand for the number of q -Niven r -tuples whose leading component is $< x$. Recently, the first two authors [3] proved that

$$\frac{x}{\log^2 x} \ll T(x) \ll \frac{x \log \log x}{\log^2 x},$$

where $T(x) := \mathcal{N}_{10}^{(2)}(x)$ stands for the number of twin Niven numbers $n, n + 1$ such that $n < x$. Here, we show not only that one may remove the “ $\log \log x$ ” factor, but also that one can obtain an asymptotic expression for $T(x)$. More generally, we prove that, given $q \geq 2$ and $2 \leq r \leq 2q$, there exists a positive constant $c = c(q, r)$ such that $\mathcal{N}_q^{(r)}(x)$ is asymptotic to $cx/(\log x)^r$ as $x \rightarrow \infty$.

2 Main result

Theorem *Given fixed integers $q \geq 2$ and $2 \leq r \leq 2q$, then, as $x \rightarrow \infty$, there exists a constant $c = c(q, r)$ such that*

$$\mathcal{N}_q^{(r)}(x) = (c + o(1)) \frac{x}{\log^r x}.$$

3 Notations and preliminary results

Let \mathbf{N} and \mathbf{N}_0 stand for the set of positive integers and non negative integers, respectively. Given r non negative integers x_1, x_2, \dots, x_r , we denote by $[x_1, x_2, \dots, x_r]$ the

least common multiple of those x_i 's which are not zero, and for $j \in \mathbb{N}_0$, we set

$$\Lambda_j := \Lambda_j(x_1, x_2, \dots, x_r) = [x_1 + j, x_2 + j, \dots, x_r + j].$$

We let as usual $\tau(n)$ and $\omega(n)$ stand for the number of positive divisors of n and the number of distinct prime divisors of n , respectively.

Throughout this paper, $q \geq 2$ is a fixed integer. The q -ary expansion of a non negative integer n is defined as the unique sequence $\epsilon_0(n), \epsilon_1(n), \epsilon_2(n), \dots$ for which

$$n = \sum_{j=0}^{\infty} \epsilon_j(n)q^j, \quad \epsilon_j(n) \in \{0, 1, 2, \dots, q - 1\}.$$

Let $\alpha(n) = \alpha_q(n)$ be the sum of the digits of n in base q , that is

$$\alpha(n) = \epsilon_0(n) + \epsilon_1(n) + \epsilon_2(n) + \dots.$$

Given a real number $x > 1$, we set $N_x = N_{q,x} := [\frac{\log x}{\log q}]$, where as usual $[y]$ stands for the largest integer not exceeding y , and set also $t_x = t_{q,x} := (q - 1)N_x$, the maximum possible value of $\alpha(n)$ for $n < x$. As in [4], given $k \in \mathbb{N}$, $t \in \mathbb{N}_0$ and an integer ℓ , we set

$$A(x|k, \ell, t) := \#\{0 \leq n < x : n \equiv \ell \pmod k \text{ and } \alpha(n) = t\}.$$

Now consider the positive integers t satisfying

$$\log x \ll t \ll \log x$$

and, for such t 's, define

$$L_x(t) := \frac{1}{\sqrt{N_x}} \varphi\left(\frac{t - \frac{q-1}{2}N_x}{\sigma \sqrt{N_x}}\right),$$

where $\sigma^2 := \frac{1}{q} \sum_{j=1}^{q-1} j^2 - (\frac{q-1}{2})^2 = \frac{q^2-1}{12}$ and $\varphi(y) := \frac{1}{\sqrt{2\pi}} e^{-y^2/2}$ is the density function of the Gaussian law.

Lemma 1 *There exists a constant κ depending only on q such that if $\ell \equiv t \pmod{(k, q - 1)}$*

$$\left| A(x|k, \ell, t) - \frac{(q - 1, k)}{k} A(x|1, 0, t) \right| < \frac{\kappa}{k} A(x|1, 0, t) (\log x)^{-1/8},$$

and $A(x|k, \ell, t) = 0$ otherwise.

Proof This is Theorem C of Mauduit, Pomerance and Sárközy [6]. □

Lemma 2 *Consider the interval*

$$I = I(x, q) := \left[\frac{q - 1}{2} N_x - (N_x)^{5/8}, \frac{q - 1}{2} N_x + (N_x)^{5/8} \right].$$

Then, for any fixed integer $r \geq 2$,

$$\sum_{t \in I} \sum_{\substack{0 \leq n < x \\ \alpha(n)=t}} 1 = x + O\left(\frac{x}{\log^{2r} x}\right).$$

Proof This follows immediately from Lemma 4 of Mauduit, Pomerance and Sárközy [6]. □

Lemma 3 *Let x be a positive real number and $t \in I$. Then*

$$A(x|1, 0, t) = xL_x(t) \left(1 + O\left((\log x)^{-1/8}\right)\right).$$

Proof This is Lemma 5 of Mauduit, Pomerance and Sárközy [6]. □

Lemma 4 *Given r distinct positive integers x_1, x_2, \dots, x_r and $H \in \mathbf{N}$ such that $\max_{1 \leq i < j \leq r} |x_i - x_j| \leq H$, then*

$$[x_1, x_2, \dots, x_r] \geq \frac{x_1 \cdot x_2 \cdots x_r}{H^{\frac{r(r-1)}{2}}}.$$

Proof We prove this result by induction on r . The result is certainly true for $r = 2$, since

$$[x_1, x_2] = \frac{x_1 x_2}{(x_1, x_2)} \geq \frac{x_1 x_2}{|x_1 - x_2|} \geq \frac{x_1 x_2}{H}.$$

Hence, let us show that if it holds for a certain integer $r \geq 2$, then it will hold for $r + 1$. Indeed,

$$\begin{aligned} [x_1, x_2, \dots, x_r, x_{r+1}] &= [[x_1, x_2, \dots, x_r], x_{r+1}] \\ &= \frac{[x_1, x_2, \dots, x_r]x_{r+1}}{([x_1, x_2, \dots, x_r], x_{r+1})} \\ &= \frac{[x_1, x_2, \dots, x_r]x_{r+1}}{[(x_1, x_{r+1}), (x_2, x_{r+1}), \dots, (x_r, x_{r+1})]} \\ &\geq \frac{[x_1, x_2, \dots, x_r]x_{r+1}}{H^r}. \end{aligned}$$

By our induction hypothesis, the later is larger than

$$\frac{x_1 \cdot x_2 \cdots x_r}{H^{\frac{r(r-1)}{2}}} \cdot \frac{x_{r+1}}{H^r} = \frac{x_1 \cdot x_2 \cdots x_{r+1}}{H^{\frac{(r+1)r}{2}}},$$

and the proof of Lemma 4 is thus complete. □

Lemma 5 *Given r distinct positive integers x_1, x_2, \dots, x_r , let $Y = \{y_1, y_2, \dots, y_m\}$ be the set of values of $|x_\nu - x_\rho|$, where $1 \leq \rho < \nu \leq r$, and set $\gamma := [y_1, y_2, \dots, y_m]$.*

Then, if $t \equiv j \pmod{\gamma}$,

$$\frac{\Lambda_j}{(x_1 + j)(x_2 + j) \cdots (x_r + j)} = \frac{\Lambda_t}{(x_1 + t)(x_2 + t) \cdots (x_r + t)}.$$

Proof Once again, we can carry the proof by induction on r . The result clearly holds for $r = 2$. To carry the induction, we observe that

$$\begin{aligned} \frac{\Lambda_j}{(x_1 + j)(x_2 + j) \cdots (x_r + j)} &= \frac{[x_1 + j, x_2 + j, \dots, x_r + j]}{(x_1 + j)(x_2 + j) \cdots (x_r + j)} \\ &= \frac{[[x_1 + j, x_2 + j, \dots, x_{r-1} + j], x_r + j]}{(x_1 + j)(x_2 + j) \cdots (x_r + j)} \\ &= \frac{[x_1 + j, x_2 + j, \dots, x_{r-1} + j]}{(x_1 + j)(x_2 + j) \cdots (x_{r-1} + j)[x_1 + j, x_2 + j, \dots, x_{r-1} + j, x_r + j]}. \end{aligned} \tag{1}$$

By our induction hypothesis we have

$$\frac{[x_1 + j, x_2 + j, \dots, x_{r-1} + j]}{(x_1 + j)(x_2 + j) \cdots (x_{r-1} + j)} = \frac{[x_1 + t, x_2 + t, \dots, x_{r-1} + t]}{(x_1 + t)(x_2 + t) \cdots (x_{r-1} + t)}. \tag{2}$$

On the other hand, one can see that

$$\begin{aligned} &([x_1 + j, x_2 + j, \dots, x_{r-1} + j], x_r + j) \\ &= [(x_1 + j, x_r + j), (x_2 + j, x_r + j), \dots, (x_{r-1} + j, x_r + j)]. \end{aligned}$$

Since for each integer v , $1 \leq v \leq r - 1$, we have that $(x_v + j, x_r + j) \mid |x_v - x_r|$ and by hypothesis $t \equiv j \pmod{|x_v - x_r|}$, it follows that $(x_v + j, x_r + j) = (x_v + t, x_r + t)$, so that

$$([x_1 + j, x_2 + j, \dots, x_{r-1} + j], x_r + j) = ([x_1 + t, x_2 + t, \dots, x_{r-1} + t], x_r + t). \tag{3}$$

Combining (1), (2), and (3), we obtain

$$\begin{aligned} &\frac{\Lambda_j}{(x_1 + j)(x_2 + j) \cdots (x_r + j)} \\ &= \frac{[x_1 + j, x_2 + j, \dots, x_r + j]}{(x_1 + j)(x_2 + j) \cdots (x_r + j)} \\ &= \frac{[x_1 + t, x_2 + t, \dots, x_r + t]}{(x_1 + t)(x_2 + t) \cdots (x_r + t)} = \frac{\Lambda_t}{(x_1 + t)(x_2 + t) \cdots (x_r + t)}, \end{aligned}$$

thus completing the proof of Lemma 5. □

The following lemma guarantees the existence of infinitely many q -Niven r -tuples for any integer $2 \leq r \leq 2q$.

Lemma 6 *Given an integer $q \geq 2$, there exist infinitely many positive integers n such that $\alpha(n + j) \mid n + j$ for $j = 0, 1, \dots, 2q - 1$.*

Proof See Wilson [7]. □

Given two integers r and a , with $2 \leq r \leq q - 1$ and $0 \leq a \leq q - r$, let $N_{r,a,0}(x)$ stand for the number of integers $n < x$ such $n, n + 1, \dots, n + r - 1$ are all q -Niven numbers and such that $n \equiv a \pmod{q}$. Observe that $N_{r,a,0}(x)$ counts those r -tuples of consecutive q -Niven numbers $n + i$, with $n < x$, such that none ends with a zero, with the possible exception of the first one which ends with the digit a (which can possibly be 0).

Let $h \in \mathbb{N}$. Then, given two integers r and a , with $q \leq r \leq 2q$ and $0 \leq a \leq 2q - r$, or with $2 \leq r \leq q - 1$ and $q - r + 1 \leq a \leq q - 1$, let $N_{r,a,h}(x)$ stand for the number of positive integers $n < x$ such $n, n + 1, \dots, n + r - 1$ are all Niven numbers and such that $q^h \parallel n + q - a$. Observe that $N_{r,a,h}(x)$ counts those r -tuples of consecutive q -Niven numbers $n + i$, with $n < x$, such that the first one ends with the digit a while one (and exactly one) of the other ones ends with h consecutive zeros.

Remark There exists no r -tuple of consecutive Niven numbers such that $q \leq r \leq 2q$ and such that the first one begins with a , $a \geq 2q - r + 1$. If it were the case, two of the Niven numbers would end with the digit 0. As in the proof of Wilson [7], we can show that it leads to a contradiction.

With these notations, we have

$$N_q^{(r)}(x) = \sum_{a=0}^{q-r} N_{r,a,0}(x) + \sum_{h=1}^{N_x} \sum_{a=\max(0, q-r+1)}^{q-1} N_{r,a,h}(x),$$

where the first sum is taken as 0 whenever $q - r < 0$.

Lemma 7 *There exist positive constants $c_0(q, r, a)$ and $c_h(q, r, a)$ for $h = 1, 2, \dots$ such that*

$$N_{r,a,0}(x) = (1 + o(1))c_0(q, r, a) \frac{x}{\log^r x} \quad (\text{as } x \rightarrow \infty),$$

$$N_{r,a,h}(x) = (1 + o(1))c_h(q, r, a) \frac{x}{\log^r x} \quad (\text{uniformly for } h < (\log \log x)^2 \text{ as } x \rightarrow \infty).$$

Proof We begin with the study of $N_{r,a,0}(x)$. Let n be counted by $N_{r,a,0}(x)$, so that $\alpha(n) = t$, $\alpha(n + 1) = t + 1$, $\alpha(n + 2) = t + 2, \dots, \alpha(n + r - 1) = t + r - 1$. Let $\gamma := [2, 3, \dots, r - 1]$ and $\Lambda_t := \Lambda_t(0, 1, 2, \dots, r - 1) = [t, t + 1, t + 2, \dots, t + r - 1]$.

First observe that, assuming that $t \equiv j \pmod{\gamma}$, it follows from Lemma 5 that

$$\begin{aligned} \Lambda_t &= \frac{t(t+1)(t+2)\dots(t+r-1)}{j(j+1)(j+2)\dots(j+r-1)} \Lambda_j \\ &= \frac{(j-1)!}{(j+r-1)!} \Lambda_j \cdot t^r \prod_{i=1}^{r-1} \left(1 + \frac{i}{t}\right). \end{aligned}$$

Thus,

$$\Lambda_t = \frac{(j-1)!}{(j+r-1)!} \Lambda_j \cdot t^r \left(1 + O\left(\frac{1}{t}\right)\right) \quad (t \in I). \tag{4}$$

In light of Lemma 2, we only need to consider those $t \in I$, so that in particular we may assume that $t \gg \log x$.

Now, by the definition of $N_{r,a,0}(x)$, we have

$$N_{r,a,0}(x) = \sum_{j=1}^{[\gamma,q,q-1]} \sum_{\substack{1 \leq t \leq tx \\ t \equiv j \pmod{[\gamma,q,q-1]}}} \sum_{\substack{1 \leq n < x \\ \alpha(n)=t \\ n \equiv b \pmod{[\Lambda_r,q]}}} \delta(q, t, r, a), \tag{5}$$

where $\delta(q, t, r, a) = 1$ if there exists a (unique) positive solution $b < [\Lambda_t, q]$ to the system of congruences

$$\begin{aligned} b &\equiv 0 \pmod{t}, \\ b &\equiv -1 \pmod{t+1}, \\ &\vdots \\ b &\equiv -r+1 \pmod{t+r-1}, \\ b &\equiv a \pmod{q}, \end{aligned}$$

and $\delta(q, t, r, a) = 0$ if this system has no solution. Since the above system of congruences is equivalent to the two congruences

$$\begin{aligned} b &\equiv t \pmod{[t, t+1, \dots, t+r-1]}, \\ b &\equiv a \pmod{q}, \end{aligned}$$

it follows that $\delta(q, t, r, a) = 1$ if and only if $a \equiv t \pmod{(\Lambda_t, q)}$. Assuming that $j \equiv t \pmod{q}$, we get $(\Lambda_t, q) = (\Lambda_j, q)$ so that $\delta(q, t, r, a) = \delta(q, j, r, a)$. Hence, from Lemmas 1 and 2, it follows that

$$\begin{aligned} N_{r,a,0}(x) &= (1 + o(1)) \sum_{j=1}^{[\gamma,q,q-1]} \delta(q, j, r, a) \\ &\quad \sum_{\substack{t \equiv j \pmod{[\gamma,q,q-1]} \\ t \in I}} \frac{A(x|1, 0, t)([\Lambda_t, q], q-1)}{[\Lambda_t, q]} + O\left(\frac{x}{\log^{2r} x}\right) \\ &= (1 + o(1)) \sum_{\substack{j=1 \\ j \equiv a \pmod{(\Lambda_j, q)}}}^{[\gamma,q,q-1]} \\ &\quad \sum_{\substack{t \equiv j \pmod{[\gamma,q,q-1]} \\ t \in I}} \frac{A(x|1, 0, t)([\Lambda_t, q], q-1)}{[\Lambda_t, q]} + O\left(\frac{x}{\log^{2r} x}\right). \tag{6} \end{aligned}$$

Clearly, $([\Lambda_t, q], q-1) = (\Lambda_t, q-1)$. Moreover, because $t \equiv j \pmod{q(q-1)}$, we have that $(\Lambda_t, q-1) = (\Lambda_j, q-1)$ and $[\Lambda_t, q] = \frac{\Lambda_t \cdot q}{(\Lambda_j, q)}$. Consequently, using (4)

and Lemma 3, it follows from (6) that

$$N_{r,a,0}(x) = (1 + o(1))x \sum_{\substack{j=1 \\ j \equiv a \pmod{(\Lambda_j, q)}}}^{[\gamma, q, q-1]} \frac{1}{[\gamma, q, q-1]} \left(\frac{2 \log q}{q-1 \log x} \right)^r \\ \times \frac{(j+r-1)! (\Lambda_j, q(q-1))}{(j-1)! \Lambda_j q},$$

which we shall write as

$$N_{r,a,0}(x) = (1 + o(1))c_0(q, r, a) \frac{x}{\log^r x},$$

say, thus establishing the first estimate of Lemma 7.

We now estimate $N_{r,a,h}(x)$ for each $h \geq 1$. Let n be counted by $N_{r,a,h}(x)$ and set $t = \alpha(n + q - a)$. It follows that $\alpha(n) = t + h(q - 1) - (q - a)$, $\alpha(n + 1) = t + h(q - 1) - (q - a) + 1$, $\alpha(n + 2) = t + h(q - 1) - (q - a) + 2, \dots, \alpha(n + (q - a) - 1) = t + h(q - 1) - 1$, $\alpha(n + q - a) = t, \dots, \alpha(n + r - 1) = t + r - 1 - (q - a)$. Then, set

$$\gamma := [2, 3, \dots, \max(q, h(q - 1) - 1)]$$

and

$$\Lambda_t := \Lambda_t(h(q - 1) - (q - a), \dots, h(q - 1) - 1, 0, 1, \dots, r - 1 - (q - a)) \\ = [t + h(q - 1) - (q - a), \dots, t + h(q - 1) - 1, t, t + 1, \dots, \\ t + r - 1 - (q - a)].$$

As in the case $h = 0$, if $t \equiv j \pmod{\gamma}$, we have, because of Lemma 5,

$$\Lambda_t = \frac{(t + h(q - 1) - 1)!}{(t + h(q - 1) - (q - a) - 1)!} \frac{(t + r - 1 - (q - a))!}{(t - 1)!} \\ \times \frac{(j + h(q - 1) - (q - a) - 1)!}{(j + h(q - 1) - 1)!} \frac{(j - 1)!}{(j + r - 1 - (q - a))!} \Lambda_j \\ = (t + h(q - 1))^{q-a} \prod_{i=1}^{q-a} \left(1 - \frac{i}{t + h(q - 1)} \right) t^{r-(q-a)} \prod_{i=0}^{r-1-(q-a)} \left(1 + \frac{i}{t} \right) \\ \times \frac{(j + h(q - 1) - (q - a) - 1)!}{(j + h(q - 1) - 1)!} \frac{(j - 1)!}{(j + r - 1 - (q - a))!} \Lambda_j.$$

Thus,

$$\Lambda_t = t^r \left(1 + O\left(\frac{h}{t}\right) \right) \cdot \frac{(j + h(q - 1) - (q - a) - 1)!}{(j + h(q - 1) - 1)!} \frac{(j - 1)!}{(j + r - 1 - (q - a))!} \Lambda_j \\ (t \in I, h \leq (\log \log x)^2). \tag{7}$$

By the definition of $N_{r,a,h}(x)$, we have

$$N_{r,a,h}(x) = \sum_{k=1}^{q-1} \sum_{j=1}^{[\gamma, q^{h+1}, q-1]} \sum_{t \equiv j \pmod{[\gamma, q^{h+1}, q-1]}} \sum_{\substack{n < x \\ \alpha(n+q-a)=t \\ n \equiv b \pmod{[\Lambda_t, q^{h+1}]}} \delta(q, t, r, a, h, k), \tag{8}$$

where $\delta(q, t, r, a, h, k) = 1$ if there exists a (unique) positive solution $b < [\Lambda_t, q^{h+1}]$ to the system of congruences

$$\begin{aligned} b &\equiv 0 \pmod{t + h(q - 1) - (q - a)}, \\ b &\equiv -1 \pmod{t + h(q - 1) - (q - a) + 1}, \\ &\vdots \\ b &\equiv -q + a + 1 \pmod{t + h(q - 1) - 1}, \\ b &\equiv -q + a \pmod{t}, \\ &\vdots \\ b &\equiv -r + 1 \pmod{t + r - 1 - (q - a)}, \\ b &\equiv -q + a \pmod{q^h}, \\ b &\equiv -q + a + kq^h \pmod{q^{h+1}}. \end{aligned}$$

Now this system of congruences is equivalent to the three congruences

$$\begin{aligned} b &\equiv t + h(q - 1) - (q - a) \pmod{[t + h(q - 1) - (q - a), \\ &\quad t + h(q - 1) - (q - a) + 1, \dots, t + h(q - 1) - 1]}, \\ b &\equiv t - q + a \pmod{[t, t + 1, \dots, t + r - 1 - (q - a)]}, \\ b &\equiv -q + a + kq^h \pmod{q^{h+1}}, \end{aligned}$$

a solution of which exists if and only if the following three congruences are true:

$$\left\{ \begin{aligned} h(q - 1) &\equiv 0 \pmod{([t + h(q - 1) - (q - a), \dots, t + h(q - 1) - 1], \\ &\quad [t, t + 1, \dots, t + r - 1 - (q - a)])}, \\ t &\equiv kq^h \pmod{([t, t + 1, \dots, t + r - 1 - (q - a)], q^{h+1})}, \\ t + h(q - 1) &\equiv kq^h \pmod{([t + h(q - 1) - (q - a), \dots, \\ &\quad t + h(q - 1) - 1], q^{h+1})}. \end{aligned} \right. \tag{9}$$

One can easily show that, given any positive integers $a_1, a_2, \dots, a_r, b_1, b_2, \dots, b_s$,

$$\begin{aligned} &([a_1, a_2, \dots, a_r], [b_1, b_2, \dots, b_s]) \\ &= [(a_1, b_1), (a_1, b_2), \dots, (a_1, b_s), (a_2, b_1), (a_2, b_2), \dots, (a_2, b_s), \dots, \\ &\quad (a_r, b_1), (a_r, b_2), \dots, (a_r, b_s)], \end{aligned}$$

so that assuming that $t \equiv j \pmod{[\gamma, q^{h+1}, q-1]}$, we obtain that

$$\begin{aligned}
 & ([t + h(q-1) - (q-a), \dots, t + h(q-1) - 1], [t, t+1, \dots, t+r-1 - (q-a)]) \\
 &= [(t + h(q-1) - (q-a), t), (t + h(q-1) - (q-a), t+1), \dots, \\
 &\quad (t + h(q-1) - (q-a), t+r-1 - (q-a)), \dots, \\
 &\quad (t + h(q-1) - 1, t), (t + h(q-1) - 1, t+1), \dots, \\
 &\quad (t + h(q-1) - 1, t+r-1 - (q-a))] \\
 &= [(j + h(q-1) - (q-a), j), (j + h(q-1) - (q-a), j+1), \dots, \\
 &\quad (j + h(q-1) - (q-a), j+r-1 - (q-a)), \dots, \\
 &\quad (j + h(q-1) - 1, j), (j + h(q-1) - 1, j+1), \dots, \\
 &\quad (j + h(q-1) - 1, j+r-1 - (q-a))] \\
 &= ([j + h(q-1) - (q-a), \dots, j + h(q-1) - 1], \\
 &\quad [j, j+1, \dots, j+r-1 - (q-a)]),
 \end{aligned}$$

$$([t, t+1, \dots, t+q-a-1], q^{h+1}) = ([j, j+1, \dots, j+q-a-1], q^{h+1})$$

and

$$\begin{aligned}
 & ([t + h(q-1) - (q-a), \dots, t + h(q-1) - 1], q^{h+1}) \\
 &= ([j + h(q-1) - (q-a), \dots, j + h(q-1) - 1], q^{h+1}),
 \end{aligned}$$

so that under this hypothesis, $\delta(q, t, r, a, h, k) = \delta(q, j, r, a, h, k)$.

Now by Lemma 6, for $q \geq 2$ and $r \in [2, 2q]$, there exist j, a, h , and k such that $\delta(q, j, r, a, h, k) = 1$. Hence, using Lemmas 1 and 2, (8) becomes

$$\begin{aligned}
 N_{r,a,h}(x) &= \left(1 + O\left(\frac{1}{\log^{1/8} x}\right) \right) \\
 &\quad \times \sum_{k=1}^{q-1} \sum_{\substack{j=1 \\ j \text{ satisfies (9)}}}^{[\gamma, q^{h+1}, q-1]} \sum_{t \in I} \frac{A(x|1, 0, t)}{[\Lambda_t, q^{h+1}]} ([\Lambda_t, q^{h+1}], q-1) \\
 &\quad + O\left(\frac{x}{\log^{2r} x}\right). \tag{10}
 \end{aligned}$$

Observing that

$$\frac{([\Lambda_t, q^{h+1}], q-1)}{[\Lambda_t, q^{h+1}]} = \frac{(\Lambda_t, q-1)}{[\Lambda_t, q^{h+1}]} = \frac{(\Lambda_t, q-1) \cdot (\Lambda_t, q^{h+1})}{\Lambda_t \cdot q^{h+1}} = \frac{(\Lambda_j, q^{h+1}(q-1))}{\Lambda_t \cdot q^{h+1}}$$

and using (7) to estimate Λ_t and Lemma 3 to estimate $A(x|1, 0, t)$, relation (10) becomes

$$N_{r,a,h}(x) = \left(1 + O\left(\frac{1}{\log^{1/8} x}\right)\right) x \sum_{k=1}^{q-1} \sum_{\substack{j=1 \\ j \text{ satisfies (9)}}}^{[\gamma, q^{h+1}, q-1]} \sum_{\substack{t \in I \\ t \equiv j \pmod{[\gamma, q^{h+1}, q-1]}}} R_1 R_2 + O\left(\frac{x}{\log^{2r} x}\right), \tag{11}$$

where

$$R_1 = \frac{L_x(t)(t + h(q - 1) - (q - a))!t!}{(t + h(q - 1) - 1)!(t + r - 1 - (q - a))!} = \frac{L_x(t)}{t^r(1 + O(\frac{h}{t}))},$$

$$R_2 = R_2(j) = \frac{(j + h(q - 1) - 1)!(j + r - 1 - (q - a))!}{(j + h(q - 1) - (q - a) - 1)!(j - 1)!} \cdot \frac{(\Lambda_j, q^{h+1}(q - 1))}{q^{h+1} \Lambda_j}.$$

We may therefore write (11) as

$$N_{r,a,h}(x) = \left(1 + O\left(\frac{h}{\log^{1/8} x}\right)\right) x \left(\frac{2}{q - 1} \frac{\log q}{\log x}\right)^r \sum_{\substack{j=1 \\ j \text{ satisfies (9)}}}^{[\gamma, q^{h+1}, q-1]} \frac{R_2}{[\gamma, q^{h+1}, q - 1]} + O\left(\frac{x}{\log^{2r} x}\right) = \left(1 + O\left(\frac{(\log \log x)^2}{\log^{1/8} x}\right)\right) x \left(\frac{2}{q - 1} \frac{\log q}{\log x}\right)^r \sum_{\substack{j=1 \\ j \text{ satisfies (9)}}}^{[\gamma, q^{h+1}, q-1]} \frac{R_2}{[\gamma, q^{h+1}, q - 1]} + O\left(\frac{x}{\log^{2r} x}\right),$$

an estimate which holds uniformly for $1 \leq h \leq (\log \log x)^2$ and which we shall write as

$$N_{r,a,h}(x) = \left(1 + O\left(\frac{(\log \log x)^2}{\log^{1/8} x}\right)\right) c_h(q, r, a) \frac{x}{\log^r x}, \tag{12}$$

say, which clearly proves the second estimate of Lemma 7. □

Lemma 8 Given $r \geq 2$,

$$\lim_{T \rightarrow \infty} \lim_{x \rightarrow \infty} \sum_{T < h < (\log \log x)^2} \sum_{a = \max(0, q - r + 1)}^{q-1} \frac{N_{r,a,h}(x)}{x} \log^r x = 0. \tag{13}$$

Proof Assume $h < (\log \log x)^2$ and that n is counted by $N_{r,a,h}(x)$. Then there exists $m \in \mathbf{N}_0$ such that $n = mq^h - q + a$, $q \nmid m$, and we also have $\alpha(n + j) | n + j$ for $j = 0, 1, \dots, r - 1$. Setting $t = \alpha(m)$, we get

$$\begin{aligned} \alpha(n + j) &= \alpha(m - 1) + (h - 1)(q - 1) + a + j \\ &\quad (j = 0, 1, \dots, q - a - 1), \\ \alpha(n + (q - a) + \ell) &= \alpha(m) + \ell \quad (\ell = 0, 1, \dots, r - q + a - 1) \end{aligned}$$

and

$$\begin{cases} mq^h - q + a + j \equiv 0 \pmod{t - 1 + (h - 1)(q - 1) + a + j} \\ \quad (j = 0, 1, \dots, q - a - 1), \\ mq^h + \ell \equiv 0 \pmod{t + \ell} \quad (\ell = 0, 1, \dots, r - q + a - 1). \end{cases} \tag{14}$$

If we fix t , the solutions m of (14) form a residue class $m_0 \pmod{K_t^{(h)}}$, where

$$K_t^{(h)} := \left[\frac{t + \ell}{(t + \ell, q^h)} : \ell \in \mathcal{B} \right],$$

with

$$\mathcal{B} = \{0, 1, \dots, r - q + a - 1, (h - 1)(q - 1) + a - 1, \dots, (h - 1)(q - 1) + q - 2\}.$$

Hence

$$K_t^{(h)} \geq \frac{\Psi_t^{(h)}}{\xi_t^{(h)}},$$

where

$$\Psi_t^{(h)} := [t + \ell : \ell \in \mathcal{B}]$$

and

$$\xi_t^{(h)} := \prod_{\ell \in \mathcal{B}} ((t + \ell), q^h).$$

But it follows from Lemma 4 that

$$\Psi_t^{(h)} \geq \frac{t^r}{(hq)^{\frac{r(r-1)}{2}}}. \tag{15}$$

Let $t \in I = [N_{(x/q^h)} - N_{(x/q^h)}^{5/8}, N_{(x/q^h)} + N_{(x/q^h)}^{5/8}]$. Using Lemma 1, we may write that

$$\# \left\{ m \leq \frac{x}{q^h} : \alpha(m) = t, m \equiv m_0 \pmod{K_t^{(h)}} \right\} = A \left(\frac{x}{q^h} | K_t^{(h)}, m_0, t \right)$$

and

$$\left| A \left(\frac{x}{q^h} | K_t^{(h)}, m_0, t \right) - \frac{(q - 1, K_t^{(h)})}{K_t^{(h)}} A \left(\frac{x}{q^h} | 1, 0, t \right) \right|$$

$$\leq \frac{\kappa}{K_t^{(h)}} A\left(\frac{x}{q^h} | 1, 0, t\right) (\log x)^{-1/8},$$

so that in particular

$$A\left(\frac{x}{q^h} | K_t^{(h)}, m_0, t\right) < \frac{2(q-1, K_t^{(h)})}{K_t^{(h)}} A\left(\frac{x}{q^h} | 1, 0, t\right).$$

Therefore, summing over all t , we obtain that

$$N_{r,a,h}(x) < \sum_{t \in I} \frac{2(q-1, K_t^{(h)})}{K_t^{(h)}} A\left(\frac{x}{q^h} | 1, 0, t\right) + \#\{m < x/q^h : \alpha(m) \notin I\}. \tag{16}$$

Moreover, using (15) we get

$$\begin{aligned} & \sum_{t \in I} \frac{(q-1, K_t^{(h)})}{K_t^{(h)}} A\left(\frac{x}{q^h} | 1, 0, t\right) \\ & \leq \sum_{t \in I} \frac{(q-1)(hq)^{\frac{r(r-1)}{2}} \xi_t^{(h)}}{t^r} A\left(\frac{x}{q^h} | 1, 0, t\right) \\ & \leq \frac{(q-1)(hq)^{\frac{r(r-1)}{2}}}{\left(N_{(x/q^h)} - N_{(x/q^h)}^{5/8}\right)^r} \sum_{t \in I} \xi_t^{(h)} A\left(\frac{x}{q^h} | 1, 0, t\right). \end{aligned} \tag{17}$$

Using the fact that $\xi_t^{(h)}$ depends only on the congruence class of $t \pmod{q^h}$, we can write

$$\sum_{t \in I} \xi_t^{(h)} A\left(\frac{x}{q^h} | 1, 0, t\right) = \sum_{1 \leq D \leq q^h} \xi_D^{(h)} \sum_{\substack{t \in I \\ t \equiv D \pmod{q^h}}} A\left(\frac{x}{q^h} | 1, 0, t\right). \tag{18}$$

We also have

$$\sum_{\substack{t \in I \\ t \equiv D \pmod{q^h}}} A\left(\frac{x}{q^h} | 1, 0, t\right) \leq \frac{x}{q^{2h}} + A\left(\frac{x}{q^h} | 1, 0, N_{x/q^h}\right) \tag{19}$$

and for a constant κ_2 depending only on q

$$A\left(\frac{x}{q^h} | 1, 0, N_{x/q^h}\right) < \frac{\kappa_2 x}{q^h \sqrt{\log(x/q^h)}}. \tag{20}$$

From (18), (19) and (20), it follows that

$$\sum_{t \in I} \xi_t^{(h)} A\left(\frac{x}{q^h} | 1, 0, t\right) \leq \sum_{1 \leq D \leq q^h} \frac{x \xi_D^{(h)}}{q^{2h}} + \sum_{1 \leq D \leq q^h} \xi_D^{(h)} \frac{\kappa_2 x}{q^h \sqrt{\log(x/q^h)}}. \tag{21}$$

Writing the standard factorization of q as

$$q = \prod_{i=1}^s p_i^{\beta_i}$$

and defining

$$\xi_{t,p_i}^{(h)} = \left(\xi_t^{(h)}, p_i^{\beta_i h} \right) \quad (i = 1, \dots, s),$$

we then have

$$\sum_{1 \leq D \leq q^h} \xi_D^{(h)} = \sum_{1 \leq D \leq q^h} \prod_{i=1}^s \xi_{D,p_i}^{(h)} = \prod_{i=1}^s \left(\sum_{1 \leq D \leq p_i^{\beta_i h}} \xi_{D,p_i}^{(h)} \right). \tag{22}$$

Moreover, since $\#\mathcal{B} = r$ and the difference of two elements in \mathcal{B} is at most qh , it is clear that

$$\xi_{D,p_i}^{(h)} \leq \max_{\ell \in \mathcal{B}} (D + \ell, p_i^{\beta_i h}) (qh)^{r-1} \quad (i = 1, \dots, s),$$

from which it follows that, for $i = 1, \dots, s$,

$$\begin{aligned} \sum_{1 \leq D \leq p_i^{\beta_i h}} \xi_{D,p_i}^{(h)} &\leq \sum_{0 \leq F \leq \beta_i h} \sum_{\substack{D \leq p_i^{\beta_i h} \\ \max_{\ell \in \mathcal{B}} (D + \ell, p_i^{\beta_i h}) = p_i^F}} p_i^F (qh)^{r-1} \\ &\leq \sum_{0 \leq F \leq \beta_i h} \sum_{\ell \in \mathcal{B}} \sum_{\substack{D \leq p_i^{\beta_i h} \\ (D + \ell, p_i^{\beta_i h}) = p_i^F}} p_i^F (qh)^{r-1} \\ &\leq \sum_{0 \leq F \leq \beta_i h} \sum_{\ell \in \mathcal{B}} p_i^{\beta_i h - F} p_i^F (qh)^{r-1} \\ &\leq r \beta_i h p_i^{\beta_i h} (qh)^{r-1}. \end{aligned} \tag{23}$$

From (22), (23) and the fact that $\beta_i \leq \log q / \log 2$ for $1 \leq i \leq s$, we obtain that

$$\sum_{1 \leq D \leq q^h} \xi_D^{(h)} \leq \prod_{i=1}^s r \beta_i h p_i^{\beta_i h} (qh)^{r-1} \leq q^h \left(\frac{\log q}{\log 2} r h \right)^{\omega(q)} (qh)^{(r-1)\omega(q)}.$$

Using this, it follows from (21) that

$$\sum_{t \in I} \xi_t^{(h)} A \left(\frac{x}{q^h} | 1, 0, t \right) \leq \left(\frac{\log q}{\log 2} h r \right)^{\omega(q)} (qh)^{(r-1)\omega(q)} \left(\frac{x}{q^h} + \frac{\kappa_2 x}{\sqrt{\log(x/q^h)}} \right). \tag{24}$$

On the other hand, under the hypothesis $h < (\log \log x)^2$ for x sufficiently large, we have that

$$\frac{\kappa_2 x}{\sqrt{\log(x/q^h)}} \left(\frac{\log q}{\log 2} hr\right)^{\omega(q)} (qh)^{(r-1)\omega(q)} < \frac{x}{\log^{1/4} x}. \tag{25}$$

Finally, observing that for x sufficiently large we have for any $h < (\log \log x)^2$

$$\left(N_{(x/h)} - N_{(x/h)}^{5/8}\right)^r \geq \frac{q-1}{4 \log q} \log^r x$$

and combining (16), (17), (24) and (25), we obtain

$$\begin{aligned} & \sum_{T \leq h \leq (\log \log x)^2} N_{r,a,h} \\ & < \frac{\kappa_3 x}{\log^r x} \sum_{T \leq h \leq (\log \log x)^2} \left((q-1)(hq)^{\frac{r(r-1)}{2}} \frac{\left(\frac{\log q}{\log 2} hr\right)^{\omega(q)} (qh)^{(r-1)\omega(q)}}{q^h} \right. \\ & \quad \left. + \frac{1}{\log^{1/4} x} \right), \end{aligned}$$

where κ_3 is a constant depending only on q . Since the sum

$$\sum_{T \leq h \leq (\log \log x)^2} (q-1)(hq)^{\frac{r(r-1)}{2}} \frac{\left(\frac{\log q}{\log 2} hr\right)^{\omega(q)} (qh)^{(r-1)\omega(q)}}{q^h}$$

tends to 0 as $T \rightarrow \infty$, the proof of Lemma 8 is complete. □

Lemma 9 *The sum of the constants appearing in the second estimate of Lemma 7 converges, that is*

$$\sum_{h=1}^{\infty} \sum_{a=0}^{q-1} c_h(q, r, a) < \infty. \tag{26}$$

Proof Let $\varepsilon > 0$. By Lemma 8 there exists a number W such that

$$\lim_{x \rightarrow \infty} \sum_{W < h < (\log \log x)^2} \sum_{a=\max(0, q-r+1)}^{q-1} \frac{N_{r,a,h}(x)}{x} \log^r x \leq \varepsilon. \tag{27}$$

Now assume that the left hand side of (26) diverges, implying that there exists $W_1 > W$ such that

$$\sum_{W < h < W_1} \sum_{a=0}^{q-1} c_h(q, r, a) > 2\varepsilon.$$

Then it would follow from Lemma 7 that

$$\begin{aligned} & \lim_{x \rightarrow \infty} \sum_{W < h < W_1} \sum_{a=\max(0, q-r+1)}^{q-1} \frac{N_{r,a,h}(x)}{x} \log^r x \\ &= \sum_{W < h < W_1} \sum_{a=\max(0, q-r+1)}^{q-1} \lim_{x \rightarrow \infty} \frac{N_{r,a,h}(x)}{x} \log^r x \\ &= \sum_{W < h < W_1} \sum_{a=\max(0, q-r+1)}^{q-1} c_h(q, r, a) > 2\varepsilon, \end{aligned}$$

contradicting (27) and thus completing the proof of Lemma 9. □

4 The proof of the theorem

To prove the theorem, we only need to show that for any $\varepsilon > 0$ there exists a real number x_0 such that for any $x > x_0$,

$$\left| \mathcal{N}_q^{(r)}(x) - \frac{cx}{\log^r x} \right| \leq \varepsilon \frac{x}{\log^r x}.$$

By Lemma 8, there exists a number T_0 such that

$$\lim_{x \rightarrow \infty} \sum_{T_0 < h < (\log \log x)^2} \sum_{a=\max(0, q-r+1)}^{q-1} \frac{N_{r,a,h}(x)}{x} \log^r x \leq \frac{\varepsilon}{8}.$$

By Lemma 9, there exists a number T_1 such that

$$\sum_{h > T_1} \sum_{a=\max(0, q-r+1)}^{q-1} c_h(q, r, a) < \frac{\varepsilon}{4}.$$

Let $T_2 := \max(T_0, T_1)$ and choose x_0 so that for any $x > x_0$ we have

$$\sum_{T_2 < h < (\log \log x)^2} \sum_{a=\max(0, q-r+1)}^{q-1} \frac{N_{r,a,h}(x)}{x} \log^r x \leq \frac{\varepsilon}{4}.$$

By Lemma 7, there exists a number x_1 such that for any $h < T_2$ and any $x > x_1$ we have

$$\left| \sum_{a=\max(0, q-r+1)}^{q-1} N_{r,a,h}(x) - \sum_{a=\max(0, q-r+1)}^{q-1} c_h(q, r, a) \frac{x}{\log^r x} \right| \leq \frac{\varepsilon}{4T_2} \frac{x}{\log^r x}.$$

Moreover, choose x_2 in such a way that for any $x > x_2$, $\frac{x}{q(\log \log x)^2} \leq \frac{\varepsilon}{4} \frac{x}{\log^r x}$ and set $x_3 := \max(x_0, x_1, x_2)$. We thus obtain, for $x > x_3$,

$$\left| \mathcal{N}_q^{(r)}(x) - \left(\sum_{a=0}^{q-r} c_0(q, r, a) + \sum_{h=1}^{T_2} \sum_{a=\max(0, q-r+1)}^{q-1} c_h(q, r, a) \right) \frac{x}{\log^r x} \right| \leq \Sigma_1 + \Sigma_2 + \Sigma_3, \tag{28}$$

where

$$\begin{aligned} \Sigma_1 &= \sum_{h=T_2}^{[(\log \log x)^2]} \sum_{a=\max(0, q-r+1)}^{q-1} N_{r,a,h}(x) \leq \frac{\varepsilon}{4} \frac{x}{\log^r x}, \\ \Sigma_2 &= \sum_{h=[(\log \log x)^2]+1}^{\infty} \sum_{a=\max(0, q-r+1)}^{q-1} N_{r,a,h}(x) \leq \frac{x}{q(\log \log x)^2} \leq \frac{\varepsilon}{4} \frac{x}{\log^r x}, \\ \Sigma_3 &= \sum_{h=0}^{T_2} \sum_{a=0}^{q-1} \left(N_{r,a,h}(x) - c_h(q, r, a) \right) \frac{x}{\log^r x} \leq T_2 \frac{\varepsilon}{4T_2} \frac{x}{\log^r x} = \frac{\varepsilon}{4} \frac{x}{\log^r x}. \end{aligned}$$

Thus we finally obtain

$$\left| \mathcal{N}_q^{(r)}(x) - \frac{x}{\log^r x} \sum_{h=0}^{\infty} \sum_{a=0}^{q-1} c_h(q, r, a) \right| \leq \frac{3\varepsilon}{4} \frac{x}{\log^r x} + \frac{x}{\log^r x} \sum_{h=T_2}^{\infty} \sum_{a=0}^{q-1} c_h(q, r, a) \leq \frac{\varepsilon x}{\log^r x}.$$

Setting $c(q, r) := \sum_{h=0}^{\infty} \sum_{a=0}^{q-1} c_h(q, r, a)$, which is possible by Lemma 9, the proof of the theorem is complete.

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