

## On the index of composition of integers from various sets

J. M. DE KONINCK<sup>1</sup>, I. KÁTAI<sup>2</sup> AND M. V. SUBBARAO<sup>3</sup>

**Abstract.** Given an integer  $n \geq 2$ , let  $\lambda(n) := (\log n)/(\log \gamma(n))$ , where  $\gamma(n) = \prod_{p|n} p$ , stand for the index of composition of  $n$ , with  $\lambda(1) = 1$ . We study the distribution function of  $(\lambda(n) - 1) \log n$  as  $n$  runs through particular sets of integers, such as the shifted primes, the values of a given irreducible cubic polynomial and the shifted powerful numbers.

**Mathematics Subject Classification (2000).** 11A25, 11N25, 11N37.

**Keywords.** Arithmetic function, distribution function, shifted primes, powerful numbers.

**1. Introduction.** Recently, De Koninck and Doyon [2] studied the global and local behaviour of the index of composition of an integer, namely the function  $\lambda(n) := \frac{\log n}{\log \gamma(n)}$ , where  $\gamma(n)$  stands for the product of the distinct primes dividing  $n$  (for convenience,  $\lambda(1) = \gamma(1) = 1$ ). In a sense,  $\lambda(n)$  measures the level of compositeness of  $n$ . More recently, De Koninck and Kátaı [3] extended the study of this function by establishing estimates for  $\sum_{x \leq n \leq x + \sqrt{x}} \lambda(n)$ ,  $\sum_{x \leq n \leq x + \sqrt{x}} 1/\lambda(n)$  and  $\sum_{x \leq p \leq x + x^{2/3}} \lambda(p + 1)$ .

In this paper, we study the distribution function of  $\eta(n) := (\lambda(n) - 1) \log n$  as  $n$  runs through particular sets of integers, such as the shifted primes, the values of a given irreducible cubic polynomial with positive leading coefficient and the shifted powerful numbers.

<sup>1</sup>Research supported in part by a grant from NSERC.

<sup>2</sup>Research supported by the Applied Number Theory Research Group of the Hungarian Academy of Science and by a grant from OTKA.

<sup>3</sup>† Professor M.V. Subbarao passed away on February 15, 2006.

**2. Notations and preliminary results.** Let  $\mathbb{N}$  and  $\mathbb{Z}$  stand respectively for the set of positive integers and the set of all integers. In what follows, the letters  $p$  and  $q$  (with or without subscript) always stand for prime numbers, while  $c$  and  $C$  stand for absolute positive constants, not necessarily the same at each occurrence. Moreover, given any integer  $n \geq 2$ , let  $P(n)$  stand for the largest prime factor of  $n$ . As usual,  $\phi$  stands for Euler's function and  $\mu$  for the Möbius function. We let  $\lambda_*$  stand for the Liouville function defined by  $\lambda_*(n) = (-1)^{\Omega(n)}$ , where  $\Omega(n)$  is the number of prime factors of  $n$  counted with their multiplicities. We shall also write  $\omega(n)$  for the number of distinct prime factors of  $n$ , and the logarithmic integral of  $x$  as  $\text{li}(x) := \int_2^x \frac{dt}{\log t}$ .

A positive integer  $n$  is said to be powerful if  $p^2|n$  whenever the prime number  $p$  divides  $n$ . Let  $\mathcal{B}$  be the set of powerful numbers. For each  $K \in \mathcal{B}$ , we set

$$\mathcal{A}_K := \{n = K \cdot m : \gcd(K, m) = 1, \mu^2(m) = 1\} \quad \text{and}$$

$$\mathcal{A}_K(x) := \#\{n \leq x : n \in \mathcal{A}_K\}.$$

One can prove that, given any  $K \in \mathcal{B}$ ,

$$(1) \quad \mathcal{A}_K(x) = \alpha(K)x + O\left(\sqrt{\frac{x}{K}}\rho(K)\right) \quad (x \rightarrow \infty),$$

where

$$\alpha(K) = \frac{6}{\pi^2 K} \prod_{p|K} \left(1 + \frac{1}{p}\right)^{-1} \quad \text{and} \quad \rho(K) = \prod_{p|K} \left(1 + \frac{1}{\sqrt{p}}\right)$$

and where the constant implicit in  $O(\dots)$  is absolute, that is does not depend on  $K$ .

Indeed, in order to show (1), one may proceed as follows. First, it is well known that

$$(2) \quad \mathcal{A}_1(x) = \sum_{n \leq x} |\mu(n)| = \frac{6}{\pi^2}x + O(\sqrt{x}).$$

On the other hand, since

$$\sum_{\substack{n=1 \\ (n,K)=1}}^{\infty} \frac{|\mu(n)|}{n^s} = \frac{\zeta(s)}{\zeta(2s)} \prod_{p|K} \frac{1}{1 + 1/p^s},$$

it follows that

$$(3) \quad \mathcal{A}_K(x) = \sum_{v \in D_K} \lambda_*(v) \mathcal{A}_1\left(\frac{x}{Kv}\right),$$

where  $D_K$  is the set of all those positive integers all of whose prime factors divide  $K$ . Now, using (2) in (3), we obtain that

$$\begin{aligned} \mathcal{A}_K(x) &= \sum_{\substack{v \leq x/K \\ v \in D_K}} \lambda_*(v) \cdot \frac{6}{\pi^2} \frac{x}{Kv} + O\left(\sqrt{\frac{x}{K}} \sum_{v \in D_K} \frac{1}{\sqrt{v}}\right) \\ &= \frac{6}{\pi^2} \frac{x}{K} \sum_{v \in D_K} \frac{\lambda_*(v)}{v} + O\left(\frac{x}{K} \sum_{v \geq x/K} \frac{1}{v}\right) + O\left(\sqrt{\frac{x}{K}} \sum_{v \in D_K} \frac{1}{\sqrt{v}}\right) \\ &= \alpha(K)x + O\left(\sqrt{\frac{x}{K}} \rho(K)\right), \end{aligned}$$

thereby establishing (1).

Now, for each powerful number  $K > 1$ , set

$$\kappa(K) = \log\left(\frac{K}{\gamma(K)}\right),$$

letting  $\kappa(1) = 0$ . Then, given any  $n \in \mathcal{A}_K$ , it is clear that

$$(4) \quad \eta(n) = (\lambda(n) - 1) \log n = \frac{\kappa(K)}{1 - \frac{\kappa(K)}{\log n}}.$$

**Lemma 1.** *If  $K, L \in \mathcal{B}$  and  $\kappa(K) = \kappa(L)$ , then  $K = L$ .*

*Proof.* By hypothesis, we have  $K/\gamma(K) = L/\gamma(L)$ . Hence, given a prime power  $p^\beta \| K$  (with  $\beta \geq 2$ ), we have  $p^{\beta-1} \| K/\gamma(K)$ , so that  $p^{\beta-1} \| L/\gamma(L)$ , which means that  $p^\beta \| L$ . Since this is true for any prime power, it follows that  $K = L$ .

Let us now reorder the elements of  $\mathcal{B}$ . We enumerate them as  $K_1, K_2, \dots$  in such a way that  $\kappa(K_1) < \kappa(K_2) < \dots$ . In this manner, we clearly have that  $\kappa(K_i) \rightarrow \infty$  as  $i \rightarrow \infty$ .

Let  $\xi$  be the random variable taking the values  $\kappa(K_i)$  with probability  $\alpha(K_i)$ , that is  $P(\xi = \kappa(K_i)) = \alpha(K_i)$ . Let  $F(y)$  be the distribution function of  $\xi$ . Then it is clear that  $F(u) = F(v)$  if  $\kappa(K_i) < u < v < \kappa(K_{i+1})$  and also that  $F$  is continuous for all real  $y \notin \{\kappa(K_1), \kappa(K_2), \dots\}$ . □

**3. The distribution function of  $\eta(n)$  as  $n$  runs through the set positive integers.**

**Theorem 1.** *For each point of continuity  $y$  of  $F$ ,*

$$\lim_{x \rightarrow \infty} \frac{1}{x} \#\{n \leq x : \eta(n) < y\} = F(y).$$

*Proof.* Let  $i$  be a fixed positive integer and let  $y \in (\kappa(K_i), \kappa(K_{i+1}))$ . Our goal is to estimate the size of the set of positive integers  $n \leq x$  such that  $\eta(n) < y$ . It follows from (4) that there exists an absolute constant  $c$  such that, if  $n \in \mathcal{A}_K$ ,

$$\kappa(K) < \eta(n) < \kappa(K) + c \frac{\kappa^2(K)}{\log n}.$$

Hence, if  $\eta(n) < y$ , its powerful part  $K$  must satisfy  $\kappa(K) \in \{\kappa(K_1), \dots, \kappa(K_i)\}$ , so that we may write that

$$\{n : \eta(n) < y\} \subseteq \bigcup_{j=1}^i \mathcal{A}_{K_j}.$$

Now, for a fixed  $K_j$ , let us consider the integers  $n \in \mathcal{A}_{K_j}$ . Since  $\kappa(K_j) < y$  and  $\frac{\kappa^2(K_j)}{\log n} \rightarrow 0$  provided  $n \in \mathcal{A}_{K_j}$  and  $n \rightarrow \infty$ , it follows that for every  $n \in \mathcal{A}_{K_j}$  with the exception of at most finitely many of them, we have that  $\eta(n) < y$ , thereby completing the proof of Theorem 1.  $\square$

**4. The case of shifted primes.** We now consider the case of the shifted primes  $p - 1$ .

Before we go any further, let us mention two important results concerning the counting function for the number of primes in an arithmetic progression, namely the function

$$\pi(x; D, \ell) := \#\{p \leq x : p \equiv \ell \pmod{D}\}.$$

First, we shall be using the fact that it follows from the Siegel-Walfisz Theorem (see Walfisz [8]) that, for some positive constant  $c_1$ ,

$$(5) \quad \pi(x; K\delta^2, 1) = \frac{\text{li}(x)}{\phi(K\delta^2)} \left(1 + O\left(e^{-c_1\sqrt{\log x}}\right)\right)$$

uniformly for all  $\delta$  for which  $\delta^2 K \leq (\log x)^c$ , where the constant implicit in the error term is absolute. Also, we will be using the Brun-Titchmarsh inequality (see for instance Crandall and Pomerance [1, Theorem 1.4.7]), that is

$$(6) \quad \pi(x; D, \ell) \leq C \frac{\text{li}(x)}{\phi(D)}.$$

Now, for a fixed  $K \in \mathcal{B}$ , let

$$\begin{aligned} \mathcal{S}_K &:= \{p : p - 1 = Km, \gcd(K, m) = 1, \mu^2(m) = 1\} \quad \text{and} \\ \mathcal{S}_K(x) &:= \#\{p \leq x : p \in \mathcal{S}_K\}. \end{aligned}$$

We shall now estimate the size of  $\mathcal{S}_K(x)$ . To do so, we first observe that

$$(7) \quad \begin{aligned} &\#\{p \leq x : \text{there exists a prime } q > (\log x)^{1/3} \\ &\text{such that } q^2 | p - 1\} \ll \frac{\text{li}(x)}{(\log x)^{1/3}}. \end{aligned}$$

To see that (7) holds, observe that, using (6), we obtain that

$$\begin{aligned} \sum_{\substack{p \leq x \\ p \equiv 1 \pmod{q^2} \\ q > (\log x)^{1/3}}} 1 &\leq \sum_{q > (\log x)^{1/3}} \pi(x; q^2, 1) \ll \sum_{q > (\log x)^{1/3}} \frac{1}{\phi(q^2)} \text{li}(x) \\ &= \text{li}(x) \sum_{q > (\log x)^{1/3}} \frac{1}{q(q-1)} \ll \text{li}(x) \sum_{q > (\log x)^{1/3}} \frac{1}{q^2} \\ &< \text{li}(x) \int_{(\log x)^{1/3}}^{\infty} \frac{dt}{t^2} \ll \frac{\text{li}(x)}{(\log x)^{1/3}}, \end{aligned}$$

which clearly establishes (7).

Let  $P_y := \prod_{p < y} p$ . Choose  $y = \frac{\log x}{3}$  and consider  $K \in \mathcal{B}$  with  $P(K) < y$  and  $K < (\log x)^c$  for some constant  $c > 0$ . Then, in view of (7), we have

$$(8) \quad \mathcal{S}_K(x) = \sum_{\delta | P_y} \mu(\delta) \pi(x; K\delta^2, 1) + O\left(\frac{\text{li}(x)}{(\log x)^{1/3}}\right).$$

But for  $\delta | P_y$  and since by Chebychev's inequality,  $P_y \leq e^{1.05y} = x^{0.35}$ , it follows that  $K\delta^2 < KP_y^2 < Cx^{0.75}$  for some constant  $C > 0$ . Therefore, combining estimates (8), (5) and (6), we get that

$$\begin{aligned} (9) \quad \mathcal{S}_K(x) &= \text{li}(x) \sum_{\delta | P_y} \frac{\mu(\delta)}{\phi(K\delta^2)} + O\left(\text{li}(x) e^{-c_1 \sqrt{\log x}} \sum_{\delta | P_y} \frac{1}{\phi(K\delta^2)}\right) \\ &\quad + O\left(\text{li}(x) \sum_{\substack{\delta | P_y \\ \delta > (\log x)^c}} \frac{1}{\phi(K\delta^2)}\right) \\ &= \text{li}(x) E_y(K) + O\left(\text{li}(x) e^{-c_1 \sqrt{\log x}} U_K(x)\right) + O(\text{li}(x) V_K(x)), \end{aligned}$$

say. Now, since

$$\frac{1}{\phi(K\delta^2)} \leq \frac{1}{\phi(K)} \cdot \frac{1}{\phi(\delta^2)} \quad \text{and} \quad \sum_{\delta} \frac{1}{\phi(\delta^2)} = O(1),$$

it follows that

$$(10) \quad U_K(x) \ll \frac{1}{\phi(K)}.$$

Moreover, since

$$\sum_{\delta > (\log x)^c} \frac{1}{\phi(\delta^2)} = \sum_{\delta > (\log x)^c} \frac{1}{\delta \phi(\delta)} \ll \sum_{\delta > (\log x)^c} \frac{\log \log \delta}{\delta^2} \ll \frac{\log \log \log x}{(\log x)^c},$$

we have

$$(11) \quad V_K(x) = O\left(\frac{\log \log \log x}{(\log x)^c}\right).$$

On the other hand,

$$E_y(K) = \frac{1}{\phi(K)} \prod_{p|K} \left(1 - \frac{1}{p^2}\right) \prod_{\substack{(p,K)=1 \\ p < (\log x)^{1/3}}} \left(1 - \frac{1}{p(p-1)}\right),$$

so that setting

$$E(K) := \frac{1}{\phi(K)} \prod_{p|K} \left(1 - \frac{1}{p^2}\right) \prod_{(p,K)=1} \left(1 - \frac{1}{p(p-1)}\right),$$

it is clear that

$$(12) \quad E_y(K) = (1 + o(1))E(K) \quad \text{as } y = y(x) \rightarrow \infty.$$

Gathering estimates (10), (11) and (12) in (9), we obtain

$$\frac{\mathcal{S}_K(x)}{\text{li}(x)} = E(K) + o(1) \quad (x \rightarrow \infty).$$

We may thus conclude that  $(\lambda(p-1) - 1) \log(p-1)$  has a distribution function. Hence, letting  $G(y)$  be the distribution function of the random variable  $\xi$  defined by  $P(\xi = \kappa(K)) = E(K)$ , we have thus established

**Theorem 2.** *For each point of continuity  $y$  of  $G$ ,*

$$\lim_{x \rightarrow \infty} \frac{1}{\text{li}(x)} \#\{p \leq x : (\lambda(p-1) - 1) \log(p-1) < y\} = G(y).$$

**Remark.** Repeating the argument used for  $F$ , one easily obtains that  $G$  is continuous at each real number  $y$  if and only if  $y \notin \{\kappa(K) : K \in \mathcal{B}\}$ .

**5. The case of an irreducible cubic polynomial.** Let  $f(n)$  be an irreducible cubic polynomial with coefficients in  $\mathbb{Z}$  with a positive leading coefficient. C. Hooley [5, Chapter 4] proved that

$$(13) \quad \#\{n \leq x : \text{there exists a prime } q > \frac{1}{6} \log x \text{ with } q^2 | f(n)\} \ll \frac{x}{\sqrt{\log x}}.$$

Let  $\rho(\ell)$  be the number of (incongruent) roots of the congruence  $f(\nu) \equiv 0 \pmod{\ell}$ . Now, given an arbitrary constant  $c > 0$ , let

$$\xi_1 = \frac{1}{6} \log x, \quad K \in \mathcal{B}, \quad K < \xi_1^c, \quad P(K) < \xi_1.$$

Moreover, let

$$V_K(x) := \#\{n \leq x : f(n) = Km, \text{ gcd}(K, m) = 1, \mu^2(m) = 1\}.$$

By using the Eratosthenian sieve (see for instance Halberstam and Richert [4, Chapter 1]), we have

$$V_K(x) = \sum_{\substack{\delta_1|K \\ \delta_2|\frac{F_{\xi_1}}{\gamma(K)}}} \mu(\delta_1)\mu(\delta_2)\#\{n \leq x : f(n) \equiv 0 \pmod{K\delta_1\delta_2^2}\}.$$

Therefore,

$$(14) \quad V_K(x) = \frac{x}{K} \prod_{\substack{p < \xi_1 \\ (p, K)=1}} \left(1 - \frac{\rho(p^2)}{p^2}\right) \prod_{p^\alpha \| K} \left(1 - \frac{\rho(p^{\alpha+1})}{p^{\alpha+1}}\right) + O\left(\frac{x}{\log^2 x}\right) + O(T_K(x)),$$

say, where in view of (13),

$$(15) \quad \sum_{K < (\log x)^c} T_K(x) \ll \frac{x}{\sqrt{\log x}}.$$

In what follows, we shall use a classical result of T. Nagell [7, Chapter III], from which it follows that  $\rho(\ell) \leq c \cdot 2^{\omega(\ell)}$ . In particular, this allows us to write that

$$1 \geq \prod_{p \geq \xi_1} \left(1 - \frac{\rho(p^2)}{p^2}\right) \geq \exp\left\{-2 \sum_{p \geq \xi_1} \frac{\rho(p^2)}{p^2}\right\} \geq \exp\left\{-\frac{c}{\xi_1}\right\} = 1 - O\left(\frac{1}{\xi_1}\right).$$

Thus, setting  $D = \prod_p \left(1 - \frac{\rho(p^2)}{p^2}\right)$ , we have that

$$\prod_{\substack{p < \xi_1 \\ (p, K)=1}} \left(1 - \frac{\rho(p^2)}{p^2}\right) \prod_{p^\alpha \| K} \left(1 - \frac{\rho(p^{\alpha+1})}{p^{\alpha+1}}\right) = D \left(1 + O\left(\frac{1}{\xi_1}\right)\right) \prod_{p^\alpha \| K} \frac{\left(1 - \frac{\rho(p^{\alpha+1})}{p^{\alpha+1}}\right)}{\left(1 - \frac{\rho(p^2)}{p^2}\right)},$$

so that in light of (15), (14) may be written as

$$V_K(x) = x\xi(K) + O\left(\frac{x}{\sqrt{\log x}}\right),$$

where

$$\xi(K) := \frac{D}{K} \prod_{p^\alpha \| K} \frac{\left(1 - \frac{\rho(p^{\alpha+1})}{p^{\alpha+1}}\right)}{\left(1 - \frac{\rho(p^2)}{p^2}\right)}.$$

Letting  $F_f(y)$  be the distribution function of the random variable  $\xi_f$  defined by  $P(\xi_f = \kappa(K)) = \xi(K)$ , we can deduce, using the same approach as in the earlier two theorems, the following result.

**Theorem 3.** *Given an irreducible cubic polynomial  $f$  with positive leading coefficient, then, provided  $y \neq \kappa(K)$  for all  $K \in \mathcal{B}$ ,*

$$\lim_{x \rightarrow \infty} \frac{1}{x} \#\{n \leq x : (\lambda(f(n)) - 1) \log f(n) < y\} = F_f(y).$$

**Remark.** Letting  $f$  be as in the statement of Theorem 3, one can show that

$$\begin{aligned} \sum_{n \leq x} \lambda(f(n)) &= x + \sum_K \sum_{\text{powerful } n \leq x} \frac{\kappa(K)}{\log f(n)} + O\left(\sum_K \sum_{\text{powerful } n \leq x} \frac{\kappa^2(K)}{\log^2 f(n)}\right) \\ &= x + c_2 \frac{x}{\log x} + O\left(\frac{x}{\log^{3/2} x}\right), \end{aligned}$$

for some computable constant  $c_2$ .

**6. The case of shifted powerful numbers.** Given a powerful number  $K$ , consider the set

$$\mathcal{T}_K := \{n \in \mathcal{B} : n + 1 = K\nu, \gcd(K, \nu) = 1, \mu^2(\nu) = 1\}.$$

It is well known that each powerful number  $n$  can be written uniquely in the form  $n = r^3 m^2$ , where  $m \in \mathbb{N}$  and  $r$  is a squarefree number. Setting  $\mathcal{B}^{(r)} := \{n \in \mathbb{N} : n = r^3 m^2, m = 1, 2, 3, \dots\}$ , we have

$$\mathcal{B} = \bigcup_{\substack{r=1 \\ \mu^2(r)=1}}^{\infty} \mathcal{B}^{(r)}.$$

Now, let  $\mathcal{T}_K^{(r)} := \mathcal{T}_K \cap \mathcal{B}^{(r)}$ , so that

$$\mathcal{T}_K^{(r)} = \{n \in \mathbb{N} : n = r^3 m^2 \text{ and } n + 1 = K\nu, \gcd(K, \nu) = 1, \mu^2(\nu) = 1\}.$$

Furthermore, we introduce the counting functions

$$\mathcal{T}_K(x) := \{n \leq x : n \in \mathcal{T}_K\} \quad \text{and} \quad \mathcal{T}_K^{(r)}(x) := \{n \leq x : n \in \mathcal{T}_K^{(r)}\}.$$

With good estimates of  $\mathcal{T}_K(x)$  and  $\mathcal{T}_K^{(r)}(x)$ , at least in the range  $K < (\log x)^c$  (for an arbitrary constant  $c > 0$ ),  $P(K) < \sqrt{\log x}$ ,  $r < (\log x)^{1/4}$ , we shall be able to establish that

$$\lim_{x \rightarrow \infty} \frac{1}{\mathcal{B}(x)} \#\{n \leq x : n \in \mathcal{B}, (\lambda(n + 1) - 1) \log n < y\}$$

exists, where  $\mathcal{B}(x) := \#\{n \leq x : n \in \mathcal{B}\}$ .

To do so, let  $1 \leq a \leq (\log x)^c$ , where  $c > 0$  is a given constant. Set  $f_a(m) := am^2 + 1$  and let  $\rho_a(\nu)$  be the number of solutions of  $f_a(m) \equiv 0 \pmod{\nu}$ . It is known that  $\rho_a$  is a multiplicative function and that, for each  $\alpha \in \mathbb{N}$ ,

$$\rho_a(p^\alpha) = \begin{cases} 1 + \left(\frac{-a}{p}\right) & \text{if } (p, 2a) = 1, \\ 0 & \text{if } p|a, \end{cases}$$



and that if  $a$  is odd,

- (1)  $\rho_a(2) = 1$ ,
- (2)  $\rho_a(2^2) = \begin{cases} 2 & \text{if } 4|a + 1, \\ 0 & \text{if } 4 \nmid a + 1, \end{cases}$
- (3) if  $\alpha \geq 3$ , then  $\rho_a(2^\alpha) = 4 \cdot \epsilon_a(\alpha)$ , where

$$\epsilon_a(\alpha) = \begin{cases} 1 & \text{if } y^2 \equiv -a \pmod{2^\alpha} \text{ is solvable,} \\ 0 & \text{otherwise.} \end{cases}$$

If  $r_0 = r_0(x)$  a function slowly tending to  $+\infty$  with  $x$ , then

$$\lim_{x \rightarrow \infty} \frac{1}{\mathcal{B}(x)} \#\{n = m^2 r^3 \leq x : r \geq r_0\} = 0.$$

Let  $K \in \mathcal{B}$  be fixed. Let  $1 < a \leq r_0^3$ ,  $x^{1/4} \leq z \leq x^4$  and  $S_{K,a}(z)$  be the number of positive integers  $m \leq z$  for which  $f_a(m) = K\nu$ , where  $(K, \nu) = 1$  and where either  $\nu$  is squarefree or if  $p^2|\nu$ , then  $p > \log x$ . By using the Eratosthenian sieve (see Halberstam and Richert [4, Chapter 1]), one can obtain that

$$S_{K,a}(z) = \sum_{\delta_1, \delta_2} \mu(\delta_1)\mu(\delta_2) \#\{m \leq z : f_a(m) \equiv 0 \pmod{K\delta_1\delta_2^2}\},$$

where, in this sum,  $\delta_1$  runs over the squarefree divisors of  $K$ , while  $\delta_2$  runs over those squarefree numbers which are coprime to  $Ka$ , and for which the inequality  $P(\delta_2) \leq \log x$  holds. Therefore

$$(16) \quad S_{K,a}(z) = z \sum_{K\delta_1\delta_2^2 \leq z} \frac{\rho_a(K\delta_1\delta_2^2)\mu(\delta_1)\mu(\delta_2)}{K\delta_1\delta_2^2} + O\left(\sum_{K\delta_1\delta_2^2 \leq az^2} \rho_a(K\delta_1\delta_2^2)\right).$$

The error term in (16) can easily be seen to be  $O(\sqrt{z})$ , due essentially to the fact that  $P(\delta_2) \leq \log x$ . On the other hand, the summation in the main term on the right hand side of (16) may also be taken to run over those  $K\delta_1\delta_2^2 > z$  since

$$\left| \sum_{K\delta_1\delta_2^2 > z} \frac{\rho_a(K\delta_1\delta_2^2)\mu(\delta_1)\mu(\delta_2)}{K\delta_1\delta_2^2} \right| \leq \sum_{K\delta_1\delta_2^2 > z} \frac{\rho_a(K\delta_1)\rho_a(\delta_2^2)}{K\delta_1\delta_2^2} \ll \frac{1}{z^{1/4}},$$

say. Hence, writing  $K = 2^\delta K_1$  with  $K_1$  odd, we have

$$(17) \quad \frac{S_{K,a}(z)}{z} = \frac{1 + o(1)}{K_1} \prod_{\substack{p^\alpha \parallel K_1 \\ (p,a)=1}} \left(1 - \frac{\rho_a(p)}{p}\right) \prod_{(p,2K_1a)=1} \left(1 - \frac{\rho_a(p)}{p^2}\right) \cdot \delta(K, a) \quad (z \rightarrow \infty),$$

where

$$\delta(K, a) = \begin{cases} 0 & \text{if } \gcd(K, a) > 1, \\ 1 & \text{if } a \text{ is even and } K \text{ is odd,} \\ \frac{1}{2^\delta} (\rho_a(2^\delta) - \frac{1}{2}\rho_a(2^{\delta+1})) & \text{if } a \text{ is odd and } K \text{ is even,} \\ 1 - \frac{\rho_a(2^2)}{2^2} & \text{if } a \text{ is odd and } K \text{ is odd.} \end{cases}$$

It is clear that

$$(18) \quad \mathcal{T}_K(x) = \sum_{\substack{r \leq r_0 \\ (K,r)=1}} \mu^2(r) \mathcal{T}_K^{(r)}(x) + o(\mathcal{B}(x))$$

and furthermore that

$$(19) \quad \mathcal{T}_K^{(r)}(x) = S_{K,r^3} \left( \frac{\sqrt{x}}{r^{3/2}} \right) + O(\Delta_r),$$

where  $\Delta_r$  stands for the number of positive integers  $n \leq x$ ,  $n \in \mathcal{T}_K^{(r)}$  such that  $p^2|n+1$  for some prime  $p > \log x$ . The main difficulty in obtaining a “closed formula” for  $\mathcal{T}_K(x)$  is to estimate the size of  $\sum_{r \leq r_0} O(\Delta_r)$ .

If we set

$$D(x, Y) := \#\{n \leq x : n \in \mathcal{K} \text{ for which there exists } p^2|n+1, p > Y\},$$

it is clear that

$$(20) \quad \sum_{r \leq r_0} O(\Delta_r) \ll D(x, \log x).$$

We shall actually prove that, for some constant  $C > 0$ ,

$$(21) \quad D(x, \sqrt{\log x}) \leq C \frac{\sqrt{x}}{(\log x)^{1/3}} = o(\mathcal{B}(x)),$$

which together with (18), (19) and (20) will clearly be enough to show that

$$(22) \quad \mathcal{T}_K(x) = \sum_{\substack{r \leq r_0 \\ (K,r)=1}} \mu^2(r) S_{K,r^3} \left( \frac{\sqrt{x}}{r^{3/2}} \right) + o(\mathcal{B}(x)),$$

in light of the well known estimate

$$(23) \quad \mathcal{B}(x) = d\sqrt{x} + O(x^{1/3}) \quad \text{with } d = \frac{\zeta(3/2)}{\zeta(3)} \approx 2.17$$

(see for instance Ivić and Shiu [6]).

To prove (21), for a given constant  $c_3 > 0$ , we let  $r < (\log x)^{c_3}$  and count those positive integers  $n = r^3 m^2 \leq x$  for which  $p^2|r^3 m^2 + 1$ . If  $p^2 \leq x/r^3$ , then no more than  $2 \frac{\sqrt{x}}{r^{3/2} p^2}$  such  $m$ 's exist. So, assume that  $m_0$  is the smallest positive integer  $m$  for which  $p|r^3 m^2 + 1$ . Then, all the other  $m$ 's can be written as  $m = m_0 + tp$  for some

positive integer  $t$ . Let us search for those integers  $t$  for which  $r^3(m_0 + tp)^2 + 1 \equiv 0 \pmod{p^2}$ . In this case, we have

$$\begin{aligned} (r^3 m_0^2 + 1) + 2m_0 t r^3 p + t^2 p^2 r^3 &\equiv 0 \pmod{p^2}, \\ \frac{r^3 m_0^2 + 1}{p} + 2m_0 t r^3 &\equiv 0 \pmod{p}. \end{aligned}$$

But since  $(m_0, p) = 1$  and  $(2r^3, p) = 1$ , one obtains the value of  $t \pmod{p}$ .

Since  $m_0 + tp < \frac{\sqrt{x}}{r^{3/2}}$ , no more than one such  $t$  may occur. Consequently, for  $r < (\log x)^{c_3}$  and  $p > \frac{\sqrt{x}}{r^{3/2}}$ , at most one such  $m$  exists under the conditions  $r^3 m^2 + 1 \leq x$  and  $p^2 | r^3 m^2 + 1$ .

Therefore, given a large number  $W$ ,

$$\begin{aligned} D(x, \sqrt{\log x}) &\leq \sum_{\substack{r^3 m^2 \leq x \\ r > W}} 1 + \sum_{r \leq W} \pi(\sqrt{x}) + 2 \sum_{r < W} \frac{\sqrt{x}}{r^{3/2}} \sum_{\substack{\sqrt{\log x} \leq p \leq \frac{\sqrt{x}}{r^{3/2}}} } \frac{1}{p^2} \\ &\leq \sqrt{x} \sum_{f > W} \frac{1}{r^{3/2}} + W \pi(\sqrt{x}) + 2 \frac{\sqrt{x}}{\sqrt{\log x}} \sum_{r < W} \frac{1}{r^{3/2}} \\ &\leq c_4 \frac{\sqrt{x}}{\sqrt{W}} + c_5 \frac{W \sqrt{x}}{\log x} + 2 \frac{\sqrt{x}}{\sqrt{\log x}} \\ &\leq c_6 \frac{\sqrt{x}}{(\log x)^{1/3}}, \end{aligned}$$

by choosing  $W = (\log x)^{2/3}$ , which completes the proof of (21).

Now, set

$$\sigma(K, r) := \frac{1}{K_1} \prod_{p|K_1} \left(1 - \frac{\rho_r(p)}{p}\right) \prod_{(p, 2K_1 r)=1} \left(1 - \frac{\rho_r(p)}{p^2}\right) \cdot \delta(K, r^3).$$

Using (17), we obtain that

$$(24) \quad S_{K, r^3} \left( \frac{\sqrt{x}}{r^{3/2}} \right) = \frac{\sqrt{x}}{r^{3/2}} \sigma(K, r) + o(\sqrt{x}).$$

It follows from (23) and (24) that

$$(25) \quad \frac{1}{\mathcal{B}(x)} S_{K, r^3} \left( \frac{\sqrt{x}}{r^{3/2}} \right) = \frac{1}{dr^{3/2}} \sigma(K, r) + o(1) \quad (x \rightarrow \infty).$$

Therefore, setting

$$\Delta(K) := \sum_{(K, r)=1} \mu^2(r) \frac{\sigma(K, r)}{r^{3/2}},$$

it follows from (22) and (25) that

$$\mathcal{T}_K(x) = (1 + o(1)) \Delta(K) \sqrt{x} \quad (x \rightarrow \infty).$$

Hence, letting  $H(y)$  be the distribution function of the random variable  $\xi$  defined by  $P(\xi = \kappa(K)) = \Delta(K)/d$ , we can deduce using the same approach as in the earlier theorems the following result.

**Theorem 4.** *For each point of continuity  $y$  of  $H$ ,*

$$\lim_{x \rightarrow \infty} \frac{1}{d\sqrt{x}} \#\{n \leq x : n \in \mathcal{B}, \eta(n+1) < y\} = H(y).$$

**7. Further remarks.**

**Lemma 2.** *Let  $F \in \mathbb{Z}[x]$ ,  $F(x) = f_1(x) \cdots f_r(x)$  be a product of irreducible polynomials such that  $\gcd(f_i(x), f_j(x)) = 1$  for every  $i \neq j$ .*

(a) *If  $\deg f_j \leq 3$  ( $j = 1, \dots, r$ ), then for every  $\xi_1 > 0$  there exists  $\xi_2 > 0$  such that*

$$\#\{n \leq x : q^2 | F(n) \text{ for some prime } q > (\log x)^{\xi_1}\} \leq \frac{cx}{(\log x)^{\xi_2}}.$$

(b) *If  $\deg f_j \leq 2$  ( $j = 1, \dots, r$ ), then*

$$\#\{p \leq x : q^2 | F(p) \text{ for some prime } q > (\log x)^{\xi_1}\} \leq \frac{c \operatorname{li}(x)}{(\log x)^{\xi_2}}.$$

*Proof.* In the case  $r = 1$ , this can be proved by essentially repeating the argument found in Chapter 4 of Hooley [5]. Indeed, let  $D_{i,j}$  be the resultant of  $(f_i(x), f_j(x))$ . It is known that  $q^2 | f_i(n)f_j(n)$  with  $q \nmid D_{i,j}$  implies that either  $q^2 | f_i(n)$  or  $q^2 | f_j(n)$ . By this observation, Lemma 2 is proved.

Given a function  $F$  satisfying the conditions of Lemma 2, then using Lemma 2 and a routine application of the Eratosthenian sieve (see Halberstam and Richert [4, Chapter 1]), one can show that

$$\begin{aligned} \lim_{x \rightarrow \infty} \frac{1}{x} \#\{n \leq x : F(n) \in \mathcal{A}_K\} &= A_K, \\ \lim_{x \rightarrow \infty} \frac{1}{\pi(x)} \#\{p \leq x : F(p) \in \mathcal{A}_K\} &= B_K, \end{aligned}$$

for some constants  $A_K$  and  $B_K$  such that

$$\sum_{K \in \mathcal{B}} A_K = 1 \quad \text{and} \quad \sum_{K \in \mathcal{B}} B_K = 1.$$

Letting  $\theta$  and  $\psi$  be random variables such that

$$P(\theta = \kappa(K)) = A_K, \quad P(\psi = \kappa(K)) = B_K$$

and letting  $H_\theta$  and  $H_\psi$  be their corresponding distribution functions, then we have the following result.

**Theorem 5.** *Under the conditions of Lemma 2,*

$$\lim_{x \rightarrow \infty} \frac{1}{x} \#\{n \leq x : \eta(F(n)) < y\} = H_\theta(y),$$

$$\lim_{x \rightarrow \infty} \frac{1}{\pi(x)} \#\{p \leq x : \eta(F(p)) < y\} = H_\psi(y),$$

*provided  $y \neq \kappa(K)$  for all  $K \in \mathcal{B}$ .*

### References

- [1] R. CRANDALL AND C. POMERANCE, Prime Numbers. 2nd edition, Springer, New York, 2005.
- [2] J. M. DE KONINCK AND N. DOYON, À propos de l'indice de composition des nombres. Monatshefte für Mathematik **139**, 151–167 (2003).
- [3] J. M. DE KONINCK AND I. KÁTAI, On the mean value of the index of composition. Monatshefte für Mathematik **145**, 131–144 (2005).
- [4] H. HALBERSTAM AND H. E. RICHERT, Sieve Methods. Academic Press, New York, 1974.
- [5] C. HOOLEY, Applications of Sieve Methods to the Theory of Numbers. Cambridge Tracts in Mathematics, Vol. **70**, Cambridge University Press, 1976.
- [6] A. IVIĆ AND P. SHIU, The distribution of powerful numbers. Illinois J. Math. **26**, 576–590 (1982).
- [7] T. NAGELL, Introduction to Number Theory. Wiley, New York, 1951.
- [8] A. WALFISZ, Zur additiven Zahlentheorie, II. Math. Zeitschr. **40**, 592–607 (1936).

J. M. DE KONINCK, Dép. de mathématiques, Université Laval, Québec, Québec G1K 7P4, Canada  
e-mail: [jmdk@mat.ulaval.ca](mailto:jmdk@mat.ulaval.ca)

I. KÁTAI, Computer Algebra Department, Eötvös Loránd University, 1117 Budapest, Pázmány Péter Sétány I/C, Hungary  
e-mail: [katai@compalg.inf.elte.hu](mailto:katai@compalg.inf.elte.hu)

M. V. SUBBARAO, Department of Mathematics, University of Alberta, Edmonton, Alberta T6G 2G1, Canada

Received: 3 March 2006

Revised: 28 October 2006